Geometric morphometric analysis of the crown morphology of the lower first premolar of hominins, with special attention to Pleistocene Homo

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ABSTRACT

Keywords: Middle Pleistocene European populations Dental anthropology Procrustes superimposition Allometry

This article is the third of a series that explores hominin dental crown morphology by means of geometric morphometrics. After the analysis of the lower second premolar and the upper first molar crown shapes, we apply the same technique to lower first premolar morphology. Our results show a clear distinction between the morphology seen in earlier hominin taxa such as Australopithecus and African early Homo, as well as Asian H. erectus, and more recent groups such as European H. heidelbergensis, H. neanderthalensis, and H. sapiens. The morphology of the earlier hominins includes an asymmetrical outline, a conspicuous talonid, and an occlusal polygon that tends to be large. The morphology of the recent hominins includes a symmetrical outline and a reduced or absent talonid. Within this later group, premolars belonging to H. heidelbergensis and H. neanderthalensis tend to possess a small and mesiolingually-displaced occlusal polygon, whereas H. sapiens specimens usually present expanded and centered occlusal polygons in an almost circular outline. The morphological differences among Paranthropus, Australopithecus, and African early Homo as studied here are small and evolutionarily less significant compared to the differences between the earlier and later homin taxa. In contrast to the lower second premolar and the upper first molar crown, the inclusion of a larger hominin sample of lower first premolars reveals a large allometric component.

Introduction

Recent publications have addressed the dental morphological differences among fossil hominins (Bailey, 2004; Bailey and Lynch, 2005; Martinón-Torres et al., 2006; Gómez-Robles et al., 2007), continuing a tradition that began with the examination of the occlusal morphology of earlier African Pliocene and Pleistocene hominin taxa (Wood and Abbot, 1983; Wood et al., 1983; Wood and Uytterschaut, 1987; Wood et al., 1988; Wood and Engleman, 1988). Other important contributions on hominin dental crown morphology include, among others, Tobias (1991), Irish (1998); Bermúdez de Castro et al. (1999); Lockwood et al. (2000); White et al. (2000); Irish and Guatelli-Steinberg (2003); Hlusko (2004) and Moggi-Cecchi et al. (2005), but most of these have not focused on the study of a single type of tooth. Our research group has previously used geometric morphometric techniques to examine the morphological variability of the hominin lower second premolar (P3) and upper first molar (M1) (Martinón-Torres et al., 2006; Gómez-Robles et al., 2007, respectively). These studies paid special attention to middle and late Pleistocene populations, but Pliocene and early Pleistocene specimens from Africa, Asia, and Europe were included to assess the significance of the observed variation. The present study follows the research line of these previous studies, and is part of a study of the whole hominin dentition by means of geometric morphometric techniques.

The advantages of using dental remains in hominin phylogenetic studies have been discussed elsewhere (e.g., Turner, 1969; Irish, 1993, 1997, 1998; Bailey, 2000, 2002a; Irish and Guatelli-Steinberg, 2003; Martinón-Torres, 2006). Previous studies on P4 crown morphology have shown differences among species (Wood and Uytterschaut, 1987; Bailey and Lynch, 2005; Martinón-Torres et al., 2006). Despite the large variation in the lower first premolar (P4) of H. sapiens (Kraus and Furr, 1953; Biggerstaff, 1969; Scott and Turner, 1997), some authors have suggested that some premolar crown morphologies may be taxonomically distinctive (Coppens, 1977; Leonard and Hegmon, 1987; Wood and Uytterschaut, 1987; Suwa et al., 1996). These reports have mainly studied P3 morphology in early hominin species (Leonard and Hegmon, 1987; Wood and Uytterschaut, 1987; Suwa et al., 1996), and in some higher primate species (Coppens, 1977), but a comprehensive comparative analysis
of P3 crown morphology that samples throughout the hominin fossil record has not been published. We analyze a sample that includes most of the hominin Pliocene and Pleistocene species known to date, including the Atapuerca-Sima de los Huesos (SH) dental sample, the largest and most representative sample from the European middle Pleistocene (Arsuaga et al., 1997), as well as the Atapuerca-Gran Dolina sample, which represents the only available hominin dental evidence from the European early Pleistocene to date. These data are compared with large samples of H. neanderthalensis and H. sapiens, as well as with smaller samples of Australopithecus, Paranthropus, and other Homo species, in order to ascertain the polarity of the observed morphologies.

Methods based on the incidence and relative expression of discrete traits, such as the Arizona State University Dental Anthropology System (ASUDAS) (Turner et al., 1991) have proven to be only moderately successful for comparing tooth crown variation within and among later fossil hominin species (Bailey, 2002b; Martinón-Torres, 2006). Recently, many dental studies based on image analysis of the occlusal morphology of fossil hominins (e.g., Bailey, 200; Bailey and Lynch, 2005; Martinan-Torres et al., 2006; Hlusko et al., 2007), and recent modern human populations (e.g., Gamez-Robles et al., 2007; Moggi-Cecchi and Boccone, 2007), non-hominin species included in this study. Wood and Uytterschaut (1999) and Collard (1999; Stringer, 2002; Manzi, 2004; Dennell and Roebroeks, 2005; Martinón-Torres et al., 2007).

Materials and methods

Materials

A geometric morphometric analysis was performed on a sample of 106 hominin first premolars. The samples comprised the following number of specimens (Table 1): A. anamensis (n = 1), A. afarensis (n = 7), A. africanus (n = 5), Paranthropus sp. (n = 3), H. habilis s. I (n = 5), H. ergaster (n = 4), H. georgicus (n = 2), H. erectus (n = 10), H. antecessor (n = 2), H. heidelbergensis (n = 18), H. neanderthalensis (n = 15), and H. sapiens (n = 34).

We used the same taxonomy as in Gómez-Robles et al. (2007). Australopithecus specimens were separated into three species: A. anamensis, A. afarensis, and A. africanus, whereas Paranthropus premolars were grouped together under the denomination Paranthropus sp. The H. habilis s. I group included the African Pliocene specimens assigned to H. habilis, H. rudolfensis, and similar unassigned specimens. The taxon H. erectus (Dubois, 1894) was used for Asian premolars from Zhoukoudian, Sangiran, and Trinil. African specimens that some authors have attributed to H. erectus s. I (Walker and Leakey, 1993) were designated as H. ergaster (Groves and Máazá, 1975), and we also included the African specimen from Rabat in this group. H. georgicus (Gabunia et al., 2002) and H. antecessor (Bermúdez de Castro et al., 1997) were analyzed separately on the basis of their general morphological distinction (Bermúdez de Castro et al., 1997; Gabunia et al., 2002), as well as their geographical and chronological separation from other groups. The term H. heidelbergensis (Schoetensack, 1908) was used for the European middle Pleistocene populations, such as Arago and Atapuerca-Sima de los Huesos samples. Finally, H. neanderthalensis comprised classic European Neandertals, while H. sapiens was represented by two modern human collections, one from the Institute of Anthropology of the University of Coimbra, and the other held at the American Museum of Natural History, New York, (n = 12).

Photographing the sample

We used standardized images of the occlusal surface of the premolars. Images were taken with a Nikon® D1H digital camera fitted with an AF Micro-Nikon 105 mm, f/2.8D. The camera was attached to a Kaiser Copy Stand Kit RS-1® with grid baseboard, column, and adjustable camera arm. For maximum depth of field, we used an aperture of f/32. The magnification ratio was adjusted

Table 1

<table>
<thead>
<tr>
<th>List of the specimens included in this analysis</th>
</tr>
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<tbody>
<tr>
<td>Australopithecus anamensis (n = 1)</td>
</tr>
<tr>
<td>Australopithecus afarensis (n = 7)</td>
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<tr>
<td>Australopithecus africanus (n = 5)</td>
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<tr>
<td>Paranthropus sp. (n = 3)</td>
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<tr>
<td>Homo habilis s. I (n = 5)</td>
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<tr>
<td>Homo georgicus (n = 2)</td>
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<tr>
<td>Homo ergaster (n = 4)</td>
</tr>
<tr>
<td>Homo erectus (n = 10)</td>
</tr>
<tr>
<td>Homo rhodesiensis (n = 2)</td>
</tr>
<tr>
<td>Homo antecessor (n = 2)</td>
</tr>
<tr>
<td>Homo heidelbergensis (n = 18)</td>
</tr>
</tbody>
</table>

Taxonomical assignments of the isolated specimens from Zhoukoudian, Sangiran, and Shungura Formations follow Weidenreich (1937), Grine and Fransen (1994), and Suwa et al. (1996), respectively. These assignments, based mainly upon the morphology of the teeth (and also upon their geographical and chronological context), can be supported by our results. Relative warp analysis is independent of the taxonomy. CVA is dependent on the a priori assignation of the specimens, but the groups we have used in this analysis are ample enough to enclose the variability of these groups with isolated specimens.
to 1:1, and a scale was placed parallel to and at the same distance from the lens as the occlusal plane.

In order to standardize the photographs, each tooth was positioned with the lens parallel to the cemento-enamel junction (CEJ) (Wood and Abbott, 1983; Wood and Uytterschaut, 1987; Bailey and Lynch, 2005; Martinón-Torres et al., 2006), as shown in Fig. 1. Isolated teeth were placed on modeling clay, and mandibles with premolars in situ were reoriented appropriately. The use of a standard orientation avoids methodological problems which may occur when 3D objects are projected onto a two dimensional surface (Gharaibeh, 2005). Nevertheless, the estimation of the standard plane can be difficult at times since the CEJ is not straight. Thus, subtle differences in the orientation of the reference plane might give different landmarks configurations as an artifactual effect of the CEJ morphology. As this effect has not been measured in previous papers of this series (Martinón-Torres et al., 2006; Gómez-Robles et al., 2007), an evaluation of the repeatability in the establishment of the reference plane is provided below.

When both antimeres were present, the right was chosen for study. However, in order to maximize the sample size, when the right one was not preserved or when the location of the landmarks was not clear, the left premolar was included for study. Left antimeres were mirror-imaged with Adobe Photoshop® before performing the analyses. Teeth with severe attritional wear and/or antimeres were mirror-imaged with Adobe Photoshop® before performing the analyses. Teeth with severe attritional wear and/or with uncertain location of one or more landmarks were not included in the study.

Geometric morphometric methods

Geometric morphometric methods based on Procrustes superimposition (Rohlf and Slice, 1990; Bookstein, 1991) are becoming one of the most used and powerful tools in morphological studies (Adams et al., 2004). Individual structures recovered as landmark conformations are compared to the mean or consensus shape of the analyzed sample by means of Generalized Procrustes Analysis (GPA) (Rohlf and Slice, 1990; Dryden and Mardia, 1998). Landmark configurations are translated, scaled, and rotated until the distances among homologous landmarks are minimized according to least squares criteria. The square root of the sum of those squared distances is named Procrustes distance and is a measure of the morphological differences among biological structures. Such distance describes the entirety of the morphological differences among the studied structures (Zelditch et al., 2004).

Thin plate spline (TPS) provides a representation of the shape changes when one specimen is deformed into another one. Every shape change can be decomposed into a uniform component with equal effects on the complete structure, and a non uniform component with local effects on particular areas (Bookstein, 1991). The non uniform component requires bending energy, a measurement of the localization of the change of shape that provides a set of shape descriptors, the partial warps scores (Bookstein, 1989, 1991, 1996a; Rohlf, 1996). Principal components analysis (PCA) of the partial warps scores is the most common test in geometric morphometric studies and it is called relative warp analysis (Bookstein, 1991). This analysis reduces the total variability to a lower number of independent dimensions. Usually, the few first principal components capture the main patterns of morphological variation within the sample (Frieß, 2003). TpsRelw software (Rohlf, 1998a) was used to perform this analysis.

In addition to relative warp analysis, canonical variates analysis (CVA) was also used to discriminate among the different samples, since this analysis maximizes the inter-group variability relative to intra-group variability (Albrecht, 1980). An assignment test was performed after the CVA in order to determine the utility of P3 shape in discriminating and determining the affinity of the groups established a priori (Nolte and Sheets, 2005). CVA requires that the number of specimens is at least as many as the number of variables (Hamer and Harper, 2006). Given the small sample size of some of the groups, a reduction of the number of variables included was necessary. The relative warp analysis previously carried out provided also a data reduction that allowed using a subset of the PCs instead of the original variables (Klingenberg, pers. comm.). CVA is able to be conducted in a reduced PCA space since the first PCs capture the most important aspects of the morphological change (Slice, pers. comm.).

Since the sample sizes of some fossil hominin taxa are too small (with the exception of the H. heidelbergensis, H. neanderthalensis, and H. sapiens samples), we merged some of the species into more inclusive samples to perform the CVA. Thus, the three Australopithecus species were pooled as Australopithecus sp., and the H. habilis and H. ergaster samples were pooled as African Homo. The original Asian H. erectus, H. heidelbergensis, H. neanderthalensis, and H. sapiens samples were retained. The Paranthropus sp., H. georgicus, and H. antecessor specimens were not included in the CVA due to the difficulty of including them in any of the groups cited above. However, these specimens were later classified into one of the previous groups based on the discriminant function results of the analysis, and they were plotted in the CVA graph. Morphological variants corresponding to the extremes of the CVs were generated using TpsRegr (Rohlf, 1998b).

Landmarks and semilandmarks

Landmarks are biologically and geometrically homologous points among the studied specimens (Zelditch et al., 2004). The occlusal morphology of a P3 crown consists of the two main cusps comprising the trigonid and a talonid. The median longitudinal fissure marks the boundary between the protoconid (buccal cusp) and the metaconid (lingual cusp). The distobuccal and distolingual

Fig. 1. Diagram showing the location of the plane through the CEJ that was placed parallel to the lens of the camera when photographing the premolars.
Landmarks that either represent the smoothest possible mathematical deformation of the curve on the reference form to the corresponding curve on a particular specimen (minimum bending energy; Bookstein, 1996b, 1997; Sheets et al., 2004), or minimizes the Procrustes distance between the curve on the reference form and each individual in the sample (Sampson et al., 1996). The criterion employed in this analysis has been the minimization of the Procrustes distance.

Landmarks were digitized by A. G.-R. The tips of the main cusps were visually located in the images while simultaneously examining the fossil or cast. The cusp tip was assumed to be in the center of the wear facet in those teeth where wear had removed it (Bailey, 2004; Martinón-Torres et al., 2006; Gómez-Robles et al., 2007). When mesial and/or distal borders of the teeth were affected by light interproximal wear, original borders were estimated by reference to overall crown shape and the buccolingual extent of the wear facets (see Wood and Uytterschaut, 1987; Bailey and Lynch, 2005, Martinón-Torres et al., 2006). Those premolars that were heavily worn were not included in the study. However, teeth with a moderate degree of wear were used since they have been demonstrated to provide consistent results when studied together with unworn teeth (Gómez-Robles et al., 2007).

Semilandmarks were drawn starting from four type III landmarks (extreme points in various dimensions which have at least one deficient coordinate; Bookstein, 1991) that were later removed. The chosen points were (Biggerstaff, 1969; Wood and Uytterschaut, 1987): the most buccal, the most distal, the most lingual, and the most mesial points of the crown. The gravity center of these four points was used as the central point to obtain forty equiangular fan lines (Fig. 2) with MakeFan6 software (Sheets, 2001). These points were used instead of the four studied landmarks to avoid an oversampling of the lingual half of those premolars with a predominantly lingual location of the landmarks. The points at which the fan lines intersected the premolar outline provided the initial location of the semilandmarks (before sliding). TpsDig (Rohlf, 1998c) was later used to digitize the landmarks and semilandmarks.

Allometry

Allometry is the study of any links between shape and overall size (Mosiman, 1970; Klingenberg, 1998), and several criteria have been proposed to study its incidence and influence over the shape of the organisms and their parts. We have focused on the study of the evolutionary allometry, analyzing the correlation between size and shape among taxonomically different populations, related either by ancestor-descendant relationships or as sister groups (Klingenberg, 1998; Bastir, 2004). For that reason, we have analyzed allometry in an inter-specific context. Although previous works have assumed that allometry has no influence on hominin dental morphology (Bailey and Lynch, 2005), the use of geometric morphometrics by means of a multivariate regression of partial warps and uniform component on centroid size, allows us to test that hypothesis. Centroid size, defined as the squared root of the sum of the squares of the landmarks configuration and each of the landmarks (Zelditch et al., 2004), was used as a proxy for overall size. Multivariate regression was accompanied by a permutation test \( (n = 10000) \) to evaluate the significance of the allometry using TpsRegr software (Rohlf, 1998b).

Measurement error

The evaluation of the measurement error was divided into two parts to independently assess the amount of error due to the location and digitizing of the landmarks and semilandmarks or due to the orientation of the tooth during photography. A subsample of five premolars (KNM-WT 15000, AT-1993 [left antimer not included in the analyses], AT-5243, Krapina114, and Krapina33) was
used to establish the error inherent in the method for data acquisition set out above. These premolars were randomly chosen among those that were available to photographe. Still, that subsample is representative of the original complete sample, given that both include casts, original, and in situ isolated premolars with different degrees of wear. To assess the error due to the digitizing process, the four landmarks and forty semilandmarks were digitized over five consecutive days on one photograph of each of the five teeth.

In order to measure the error due to the location of the reference plane when photographing the tooth, the five premolars were photographe over a period of five consecutive days, each time ensuring that the CEJ was parallel to the lens, as described above. Then, the landmarks and the four points employed to later locate the semilandmarks were visually placed in the same anatomical location by comparing the five images corresponding to each premolar. After that, the four landmarks and forty semilandmarks were digitized.

After a Procrustes superimposition of the described subsample, the Euclidean distances of each landmark to its centroid were computed using TpsRegr software (Rohlf, 2003), and landmark deviations were calculated relative to landmark means (Singleton, 2002). The scatter at each landmark for each individual was averaged in order to obtain a mean value of the error due to the orientation of the tooth when photographing it and due to the digitizing process. We are aware of the fact that the measurement of the dispersion at each landmark according to Singleton (2002) causes a slight underestimation when the dispersion is not collinear (van Cramon-Taubadel et al., 2007). Notwithstanding, this approach provides an estimate of the repeatability of our method of capturing the data.

The mean value of the scatter at each landmark relative to the landmark mean due to the digitizing process is 0.63% when semilandmarks are included and 2.24% if the error is for the landmarks. This level of error is within the range similar studies (2002; Harvati, 2002) the lowest error, with a mean value of 0.45%, the 0.89%. Since the error was calculated after the Procrustes superimposition, the sliding mechanism minimizes the error at semilandmarks, with values between 0.34% and 0.67%.

The amount of error due to the orientation of the premolars when they are photographed is higher. This error has a mean value of 1.23% with the inclusion of semilandmarks and 3.2% for the landmarks alone. The mean error values for each individual are similar and they range from 1.02% to 1.59%. Regarding landmark error, the apex of the protoconid has the highest error (4.33%) and the apex of the metaconid the lowest (2.08%). Again, the variability at semilandmarks is reduced by the sliding mechanism, with values between 0.17% and 2.43%.

The relatively high error at the location of the apex of the protoconid must be taken into account when interpreting the results of the analyses, especially those influenced by the location of the first landmark.

Results

The relative warps analysis shows that the two first principal components (PC1 and PC2) explain 55.3% of the total variability of the sample (43.2% and 12.1%, respectively; Table 2).

<table>
<thead>
<tr>
<th>No.</th>
<th>Singular value</th>
<th>% explained variance</th>
<th>% cumulative variance</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0.48109</td>
<td>43.2</td>
<td>43.2</td>
</tr>
<tr>
<td>2</td>
<td>0.25493</td>
<td>12.1</td>
<td>55.3</td>
</tr>
<tr>
<td>3</td>
<td>0.23307</td>
<td>10.1</td>
<td>65.4</td>
</tr>
<tr>
<td>4</td>
<td>0.19398</td>
<td>8.9</td>
<td>72.3</td>
</tr>
<tr>
<td>5</td>
<td>0.15613</td>
<td>8.8</td>
<td>77.1</td>
</tr>
<tr>
<td>6</td>
<td>0.15010</td>
<td>4.7</td>
<td>81.8</td>
</tr>
<tr>
<td>7</td>
<td>0.14147</td>
<td>3.7</td>
<td>85.5</td>
</tr>
<tr>
<td>8</td>
<td>0.11050</td>
<td>2.8</td>
<td>88.1</td>
</tr>
<tr>
<td>9</td>
<td>0.10620</td>
<td>2.1</td>
<td>90.2</td>
</tr>
<tr>
<td>10</td>
<td>0.09102</td>
<td>1.5</td>
<td>91.7</td>
</tr>
</tbody>
</table>

A negative score on the PC2 is linked with a symmetrical P3 crown, an oval outline, and a large and centrally-located occlusal polygon. A positive score on PC2 is characterized by asymmetrical premolars with conspicuous talonids but with small occlusal polygons.

The positive extremes of PC1 and PC2 are both linked with a centrally-located protoconid apex that results in a small and more lingually-located occlusal polygon, independent of the symmetry or asymmetry of the outline. Notwithstanding, the negative ends of PC1 and PC2 are correlated with relatively large occlusal polygons, in asymmetrical (PC1) and symmetrical (PC2) outlines.

The distribution of the specimens in the plot of the principal components (Fig. 3) shows strong separation between archaic (Australopithecus, Paranthropus, and early Homo species) and recent (H. heidelbergensis, H. neanderthalensis, and H. sapiens) hominin species. As we can see in Fig. 3, this differentiation can be emphasized by drawing an imaginary line in the morphospace, which separates almost completely the more recent Homo species from the more archaic ones.

All the Australopithecus specimens (except the A. anamensis premolar, one A. africanus [5FW/14], and one A. afarensis [A.L.288]) are located at the upper left quadrant of the plot, with negative values for PC1 and positive values for PC2. This is also the quadrant where either early hominin taxa are located (i.e., the three Paranthropus specimens, three out of five H. habilis, one H. ergaster, and both H. antecessor specimens). The H. erectus specimens, however, all plot with negative values for PC1, but they have either positive or negative values for PC2, with the Sangiran specimens tending to display positive scores, and the Zhoukoudian specimens mainly negative values, as is the case for the H. georgicus premolars. It is important to note that most of the African and Asian Pliocene and lower Pleistocene species have negative values on PC1, the only exceptions being the A. anamensis (KNM-KE2921) and one H. ergaster (KNM-ER 992) premolars.

Most H. heidelbergensis and H. neanderthalensis specimens have positive scores for PC1. The exceptions are the three specimens from Arago for H. heidelbergensis, and the Le Moustier and Krapina H. neanderthalensis specimens. The distribution patterns of those species are similar, except that the Sima de los Huesos sample shows more extreme positive values for PC1 and PC2, with a more marked reduction in size of the occlusal polygon, characteristic of this population.
The *H. sapiens* sample predominantly plots in the lower right quadrant of the graph. Twenty-three out of 34 modern human first premolars plot with positive values for PC1 and negative values for PC2, without noticeable differences between the modern human samples from the AMNH and the University of Coimbra. Although some *H. sapiens* premolars are located in the upper right and lower left quadrants of the plot (Fig. 3), there is no *H. sapiens* specimen in the upper left quadrant, where the majority of the early African hominins cluster.

As canonical variates analysis (CVA) requires the number of cases of the smallest sample to be larger than the number of variables (Hammer and Harper, 2006), the nine first principal components (which account for the 90.04% of the variability of the sample) were chosen as variables for the CVA (since nine is the sample size of the smallest group, namely the African *Homo* sample). CVA works upon an assumption that variances across groups are homogeneous. As two of the groups included in this analysis (*Australopithecus* sp. and African *Homo*) contain morphologically diverse taxa, we determined whether the variance differs significantly between the a priori defined groups. Although the Levene statistic showed that the variances are homogeneous when the variables are considered separately (p-values between 0.084 and 0.95), the hypothesis of equal covariance matrices for those nine variables was rejected when using Box's M statistic (p ≤ 0.0001). However, when the number of variables included in the CVA was reduced to the first five PCs, this resulted in the homogeneity of the covariance matrices (p = 0.078, Box's M) with only a small reduction in the percentage of variability of the sample included in the CVA (77.1% with five variables versus 90.2% with nine variables).

The CVA (Fig. 4) yielded similar results to the relative warps analysis (PCA). The CVA extracted five canonical variables (Table 3) of which the first two explain 93.6% of the variation (from the 77.1% of the total variation of the sample included in this analysis).

Specimens at the negative end of CV1 (Fig. 4) have asymmetrical morphologies with conspicuous talonids and large occlusal polygons, whereas specimens at the positive end show almost circular and symmetrical outlines. This difference is due to a reduction of the talonid and to the relative migration of the protoconid tip to the center of the tooth. Thus, the positive end of CV1 corresponds to P3s with rounded occlusal outlines and small and lingually-located occlusal polygon. A P3 with an oval outline and relatively large occlusal polygon would plot at the negative end of CV2, with the protoconid apex and the anterior fovea peripherally located regarding to their location at the positive end of CV2. Positive scores on CV2 correspond to a slightly asymmetrical P3s, with a reduced talonid that mainly occupies the distolingual portion of the crown and with a compressed occlusal polygon located mesio-lingually. P3s with positive scores for CV2 have a uniform and slightly convex distal outline, whereas the mesial side tends to be more concave, with an inflexion at the level of the mesio-lingual groove when it intersects the external outline.

In general, the distribution of the premolars on the CVA plot coincides with the PCA distribution by showing a clear distinction between the early hominins (*Australopithecus* sp., African *Homo*, ...
and *H. erectus*) and the more recent taxa. All Asian and African specimens have negative values for CV1, whereas almost all of the European specimens and *H. sapiens* (with the exception of three *H. heidelbergensis* from Arago, three *H. neanderthalensis*, and one *H. sapiens* specimens) have positive values.

The premolars in the pooled *Australopithecus* sp. sample have the most extreme negative scores for CV1, and they display a slight trend to plot in positive values with respect to CV2. All African *Homo* and *H. erectus* specimens also plot in the negative half of CV1 and they share part of the morphospace with *Australopithecus*. Along CV2 there is substantial overlap between African *Homo* and *H. erectus*.

The *H. heidelbergensis* sample is almost entirely (15 of 18 individuals) located in the upper right quadrant of the CVA plot. This position corresponds to positive values for both CVs. However, Arago individuals display either negative scores for CV1 (Arago 71 and Arago 75) or negative scores for both CV1 and CV2 (Arago 13). The Atapuerca-Sima de los Huesos sample has the most positive values for CV2. *H. neanderthalensis* has mainly positive values for CV1 and CV2, although they are not as extreme as in Sima de los Huesos sample. Finally, *H. sapiens* is mainly located in the lower right quadrant of the CVA plot with two *H. neanderthalensis* specimens situated close to the zero value for CV2. Thus, *H. sapiens* specimens can be discriminated from *H. heidelbergensis* and *H. neanderthalensis* along CV2, whereas the earliest groups (*Australopithecus* sp., African *Homo*, and *H. erectus*) can be discriminated from the later *Homo* species (*H. heidelbergensis*, *H. neanderthalensis*, and *H. sapiens*) along CV1.

A moderately high percentage of *Australopithecus* sp., *H. heidelbergensis*, and *H. sapiens* specimens are correctly assigned to their group, whereas the other groups have assignment percentages that are below 70% (Table 4). Nevertheless, it is important to note that no individual of the first three groups (*Australopithecus* sp., African *Homo*, and *H. erectus*) is wrongly assigned to any of the European fossil groups or to *H. sapiens*. Similarly, just two *H. heidelbergensis* specimens (both from Arago) and one *H. neanderthalensis* (but not any *H. sapiens*) are wrongly assigned to one of the early African or Asian groups.

<p>| Table 4 |</p>
<table>
<thead>
<tr>
<th>Assignment test results based on the canonical variates analysis</th>
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<tr>
<td>% correct assignment</td>
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<tr>
<td><strong>Australopithecus</strong> sp.</td>
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<tr>
<td>African <em>Homo</em></td>
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<tr>
<td><em>H. erectus</em></td>
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<tr>
<td><em>H. heidelbergensis</em></td>
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<tr>
<td><em>H. neanderthalensis</em></td>
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<td><em>H. sapiens</em></td>
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The specimens not included in the CVA were later assigned to one of the other groups in order to ascertain their morphological affinities. Two Paranthropus specimens were assigned to Australopithecus sp. (KNM-ER 3230 and TM1517), whereas the other one (OMO-14277-7) was classified as African Homo. Premolars from Dmanisi were assigned either to Australopithecus sp. (D2735) or African Homo (D211). Eventually, both H. antecessor specimens were classified as H. erectus.

**Allometry**

The multivariate regression of the partial warps and uniform component scores against the centroid size revealed a significant allometric effect \( (p < 0.0001) \), so that 17.3% of the shape change can be linked to differences in overall size. Hominins with small premolar crowns tend to have a circular and symmetrical outline combined with a compressed and lingually-located occlusal polygon, whereas larger premolars present strongly asymmetrical outlines as a consequence of a conspicuous and well-developed talonid (Fig. 5). The occlusal polygons of the latter tend to occupy a larger proportion of the occlusal surface, and the tips of the protoconid and metaconid are mesially displaced.

Early African and Asian species present significantly \( (p < 0.0001) \) higher centroid sizes, with values that are always above 2.9 (A. afarensis: 2.93; A. africus: 3.35; *Paranthropus*: 3.62; *H. habilis*: 3.01; H. ergaster: 2.90; H. erectus: 3.10), whereas the more recent hominin taxa have values below 2.7 (H. *heidelbergensis*: 2.54; H. neanderthalensis: 2.64; H. *sapiens*: 2.26). Thus, the differences in first premolar morphology that separate earlier from more recent hominins could be partially related to an allometric change from the largest premolars to the smallest ones. With regard to the allometric effect, the differences between the P3 morphology of earlier and later hominins can be summarized by the relative migration of the protoconid apex toward the center of the tooth (allometric decrease of the protoconid-metaconid axis), lingual displacement of the distal fovea (relative shortening of the distal fovea-mesial fovea axis), an allometric reduction of the talonid, and a relative extension of the distobuccal outline that gives the later hominin premolars an approximately round contour (Fig. 5).

**Discussion**

**Specific differences**

This analysis of P3 crown morphology using geometric morphometrics has demonstrated clear differences between the early African and Asian hominin taxa, and later Homo species (H. *heidelbergensis*, H. neanderthalensis, and H. *sapiens*). The morphspaces of the premolars of the early African and Asian hominins overlap, but are separate from H. *heidelbergensis*, H. neanderthalensis, and H. *sapiens*. We infer that the more primitive morphology for the genus Homo consists of a strongly asymmetrical occlusal outline, a conspicuous talonid, and an occlusal polygon that is always larger than that seen in H. *heidelbergensis* and H. neanderthalensis. From this shape, two derived morphologies originate (Fig. 6). One corresponds to H. *sapiens* and consists of a symmetrical and circular premolar outline with a weak or absent talonid. The occlusal polygon is large and centrally located due to the buccally-displaced protocoid tip. The second derived morphology is seen in H. *heidelbergensis* and H. *neanderthalensis*. The first premolar of these taxa have a small occlusal polygon with the apex of the protoconid located towards the center of the tooth. As a consequence, Neanderthal and H. *heidelbergensis* premolars have a small lingually-displaced occlusal polygon located within a symmetrical, or slightly asymmetrical, occlusal outline. When present, the talonid is small and forms a smooth projection on the distolingual aspect of the crown. Additionally, the results of the CVA show that both Sima de los Huesos and H. *neanderthalensis* samples often present dissimilarity in the mesial and distal sides of the lingual outline, with the distal side being convex and the mesial side being concave. Although this morphology is also seen in H. *sapiens*, it is much more frequent in the European Pleistocene populations.

Differences in P3 morphology among African Pliocene and lower Pleistocene species were proposed by Wood and Uytterschaut (1987). They emphasized *Paranthropus* (mainly P. boisei) having relatively large talonids when compared to *A. africus*, *H. habilis*, and *H. ergaster* that gave rise to a more asymmetrical outline. Suwa et al. (1996) remarked on an African hominin trend (A. afarensis- *A. africus*-early Homo) of losing the primitive features associated with sectorial first premolars. However, these differences are relatively small when compared to the differences between earlier and later fossil hominins. The inclusion of H. *heidelbergensis*, H. *neanderthalensis*, and H. *sapiens* has relatively reduced the distinction within the more archaic groups. The morphological extremes identified by Wood and Uytterschaut (1987) by means of Procrustes superimposition, and exemplified by the contrast between KNM-ER 992 and KNM-ER 3230, are also picked up in our own PCA analysis (Fig. 3), where these two specimens have relatively distant positions within the variability of the archaic groups. The A. afarensis specimens included in this analysis and not included by Wood and Uytterschaut (1987) show substantial variation in the degree of palatalization of their P3s, with premolars that range from a nearly sectorial conformation (A.L. 128-23) to clearly bicuspid ones (A.L. 333w-60) (Leonard and Hedges, 1987), but all of them tend to display an asymmetrical outline with an occlusal polygon not as large as seen in other early hominins. Still, differences among African specimens are difficult to ascertain given the small sample sizes of these groups in our study.

*Paranthropus* premolars have been defined as derived with respect to early hominin species (e.g., Wood and Uytterschaut, 1987; Suwa, 1988; Suwa et al., 1996; Bailey and Wood, 2007), and this morphcline is also suggested by our own results, although it is not so evident when early African hominins are compared with middle and late Pleistocene species. However, a formal cladistic analysis has not been carried out, and the size of the *Paranthropus* sample is still too small to make formal conclusions with respect to this group. Despite being derived with respect to *Australopithecus* and African Homo, *Paranthropus* first premolars are assigned to these groups because they better accommodate the variability of the *Paranthropus* specimens, located at the extreme of the variation of the groups included in the CVA.
In neither of the PCA nor CVA graphs is there a hominin taxon with a morphology that is clearly intermediate between the earlier and later groups. Some *H. ergaster* specimens and one *H. georgicus* individual are close to the distribution areas of *H. heidelbergensis*, *H. neanderthalensis*, and *H. sapiens*, but the morphological variability within the former groups is high. These specimens show a slight reduction of the talonid and a less asymmetrical outline compared to earlier African specimens. However, when we use the CVA to assign the Dmanisi specimens to one of the most represented groups, one of them (D211) is classified as African *Homo* and the other (D2735) as *Australopithecus* sp., highlighting their similarity with early *Homo* and even with *Australopithecus* in some traits by retaining plesiomorphic characters (Rightmire et al., 2006; Lordkipanidze et al., 2007; Martinón-Torres et al., in press).

The absence of overlap between the distribution areas of *H. ergaster* and *H. erectus* in the PCA, with *H. ergaster* plotting closer to the morphospace of the later *Homo* taxa, would support the different specific allocation of African and Asian lower Pleistocene specimens (e.g., Wood, 1984, 1994; Wood and Richmond, 2000). In the case of *H. erectus*, there are slight differences between the Chinese (from Zhoukoudian) and Javanese (from Sangiran and Trinil) specimens. Zhoukoudian premolars tend to show lower values for both PC2 and CV2 than do Javan individuals, highlighting the Sangiran trend to display a slightly more asymmetrical *P*3 within the *H. erectus* group. Zhoukoudian 20 and 80 belong to a mixed collection of small fossil orang-utan and hominin teeth (Schwartz and Tattersall, 2003). Although we have kept the assignment to *H. erectus* proposed by Weidenreich (1937), both the general morphology and the location of these premolars in the PCA plot (at the extreme of variation of the studied hominin sample) could better support their classification as non-hominin. However, a comparison with an ape sample would be required to confirm that.

The *H. antecessor* specimens are characterized by derived *P*4 and *M*1 shapes (Martinón-Torres et al., 2006; Gómez-Robles et al., 2007), but they retain primitive *P*3 shapes, with morphologies similar to those found in early African and Asian species. The use of the CVA to include these specimens in one of the most-represented groups of the sample associates them with Asian *H. erectus*. This association could support the phylogenetic relationship between Asian and European Pleistocene populations as proposed by some authors (Dennell and Roebroeks, 2005, Martinón-Torres et al., 2007), but the restricted ability of *P*3 to taxonomically allocate isolated specimens prevents us from drawing final conclusions.

The Arago specimens (Arago 13, 71, and 75) plot outside the distribution area of the Atapuerca-Sima de los Huesos premolars. Interestingly, Arago premolars have slightly different morphologies, and, despite sharing the same small area of the morphospace, they show affinities with different groups (Bermúdez de Castro et al., 2003): Arago 71 is situated with the early hominin taxa (due to its asymmetrical shape and large occlusal polygon [left upper quadrant of Fig. 3]); Arago 13, located at the confluence of the four quadrants (point 0) of Fig. 3, is more similar to later hominins (because of its symmetrical shape with a centered and large occlusal polygon); and Arago 75 plots in an intermediate position between modern and earlier groups, showing a medium-sized talonid and a central occlusal polygon.

Although the *H. neanderthalensis* and *H. heidelbergensis* distributions overlap, the Sima de los Huesos first premolars plot at the extreme of PC1. In general, *H. heidelbergensis* from Sima de los Huesos and *H. neanderthalensis* have been described as having similar dental traits, the only difference being that *H. neanderthalensis* presents higher degrees of expression for some of those traits (e.g., Bermúdez de Castro, 1987, 1988, 1993; Martinón-Torres, 2006; Martinón-Torres et al., 2006; Gómez-Robles et al., 2007). It is interesting to note that, in this particular tooth, Sima de los Huesos specimens display a morphology that is even more pronounced than the classic Neandertals. This may reflect a morphological particularity of this biological population as its marked reduction of the size of their posterior teeth (Bermúdez de Castro and Nicolás, 1995). The similarities in *P*3 shape, along with other
Allometry

An allometric effect accounts for 17.3% of the shape differences among the hominins. Given that the P3s of earlier hominin species are generally larger than that of the more recent groups (Bermúdez de Castro and Nicolas, 1995, 1996), the archaic to modern morphological gradient could be partially linked to a size change from large to small. However, that size change is not isometric. The reduction of the overall size of the P3 crown is accompanied by a more significant reduction of the distance between the protoconid and the metaconid apices and, specially, of the talonid area (Fig. 5). In addition, the relative extension of the distobuccal outline gives small P3s a more rounded contour.

Previous papers on P3 morphology have not found clear evidence of allometry in the morphology of this tooth. Wood and Uytterschaut (1987) did not find any significant correlation between talonid size and overall crown size in any of the groups they studied (A. africus, P. robustus, P. boisei, and H. habilis).

Leonard and Hegmon (1987) proposed that in A. aferens there is an association between molarization of the P3 and premolar size, but only for the female specimens. Similarly, Suwa et al. (1996) assumed that the relative cusp proportions of Paranthropus were not exclusively the result of an allometric change from non-robust species. Our analysis of a more comprehensive sample that includes comparatively smaller premolars, such as those from H. australopithecus and early Homo individuals, as well as Asian H. erectus specimens, consists of a strongly asymmetrical outline combined with a large and well-developed talonid and a generally expanded occlusal polygon. The two derived morphologies both have an approximately symmetrical outline with a reduced or absent talonid. In H. neanderthalensis and H. heidelbergensis the P3 crowns have a small and lingually-located occlusal polygon, whereas in H. sapiens specimens the occlusal polygon is larger and more central, and the outline is approximately circular.

Evolutionary implications

Wood and Uytterschaut (1987) showed that Paranthropus premolars, with the most asymmetrical shape, tend to have additional cusps on the talonid. This contrasts with Australopithecus and early Homo specimens, which have a lower frequency of extra cusps, and which have relatively less asymmetrical P3 crown outlines. These differences in the degree of asymmetry are masked when more recent (and symmetrical) specimens are included in the analysis. Although initially we could correlate the presence of additional cusps with a well-developed talonid, H. sapiens tend to keep their symmetrical and rounded outline even when extralingual cusps are developed (Kraus and Furr, 1953; Scott and Turner, 1997; Bailey, 2002b; Martinón-Torres, 2006). Therefore, even though the presence and the number of additional cusps is variable and useful for lower taxonomic distinctions (Jernvall and Jung, 2000), the disposition of the main cusps and the general morphology of the outline should be more powerful tools for taxonomic discrimination.

It has been demonstrated that the morphogenesis of the upper and lower dentition are under the control of different genetic programs (Thomas et al., 1997; Fergusson et al., 1998; McCollum and Sharpe, 2001). This could explain why M1 tends to retain a primitive morphology in H. sapiens (Gómez-Robles et al., 2007), whereas P3 crowns are derived in this species. Although it has been proposed that the teeth of the same class have a correlated expression (Nichol, 1990; Irish, 2005), the evolutionary trend also differs between the two types of lower premolar, since H. neanderthalensis apparently retains a primitive P4 morphology (Martinón-Torres et al., 2006), whereas the shape of their P3 is clearly derived (this study). Thus, if the different trends in the P3 and P4 were to be confirmed, it could be hypothesized that they are influenced by different morphogenetic fields (Mizoguchi, 1981; Kieser and Groeneveld, 1987; Bermúdez de Castro and Nicolás, 1996). However, the classical concept of morphogenetic fields of dentition (Butler, 1939; Dahlberg, 1945) seemingly represents the concerted action of a series of individual molecular fields (Line, 2001), affecting different teeth.

The results of the assignment test do not reveal a strong discriminative power of the P3 morphology in determining the taxonomical affinities of the individuals. Notwithstanding, the clear differences among early hominin species and later Homo groups may suggest the existence of ecological and evolutionary factors underlying these shape changes. Genetic drift could be a plausible mechanism for explaining the described shape differences (Lynch, 1989; Relethford, 1994; Roseman, 2004; Roseman and Weaver, 2004; Weaver et al., 2007), although the morphological distinction in P3 morphology between earlier and later hominin species compels us to consider the existence of evolutionary advantages of the described shapes in each case that probably would not have adaptive benefits per se, but which could be correlated with other skeletal changes (McCollum and Sharpe, 2001).

Conclusions

This geometric morphometric study of the hominin P3 crown morphology has revealed a noticeable change in shape from the earliest hominin species sampled to the later Homo species. The inferred primitive morphology, typical of Australopithecus and early Homo individuals, as well as Asian H. erectus specimens, consists of a strongly asymmetrical outline combined with a large and well-developed talonid and a generally expanded occlusal polygon. The two derived morphologies both have an approximately symmetrical outline with a reduced or absent talonid. In H. neanderthalensis and H. heidelbergensis the P3 crowns have a small and lingually-located occlusal polygon, whereas in H. sapiens specimens the occlusal polygon is larger and more central, and the outline is approximately circular.

The evidence of a significant inter-specific allometric effect on the shape change and the obvious separation between the earliest hominin species of the sample and the later ones (H. heidelbergensis, H. neanderthalensis, and H. sapiens) suggest that there may be an ecological influence on P3 morphology.

When the results of this study of the first premolar morphology is compared to the results of studies of other teeth, it is evident that a simple explanation cannot be applied to these accumulated findings, but that it is necessary to consider a complex mosaic pattern for the evolution of the human dentition.

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