

1 *Aspergillus steynii* and *Aspergillus westerdijkiae* as potential risk of OTA
2 contamination in food products in warm climates

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25

26 **Abstract**

27 *Aspergillus steynii* and *A. westerdijkiae* are the main ochratoxin A (OTA) producing
28 species of *Aspergillus* section *Circumdati*. Due to its recent description, few data are
29 available about the influence of ecophysiological factors on their growth and OTA
30 production profiles. In this work, the effect of temperature (20, 24 and 28 °C) and water
31 activity (a_w) (0.928, 0.964 and 0.995) on growth, sporulation and OTA production by
32 these fungi was examined in CYA and media prepared from paprika, green coffee,
33 anise, grapes, maize and barley. Growth was positively affected by the highest
34 temperature and a_w values indicating that both species might be expected in warm
35 climates or storage conditions. However, optimal growth conditions showed differences
36 depending on the medium. OTA production was markedly affected by substrate and
37 showed qualitative and quantitative differences. Both species, especially *A. steynii*,
38 represent a great potential risk of OTA contamination due to their high production in a
39 variety of conditions and substrates, in particular in barley and paprika-based media.
40 Additionally, neither growth nor sporulation did result good indicators of OTA
41 production by *A. steynii* or *A. westerdijkiae*; therefore, specific and highly-sensitive
42 detection methods become essential tools for control strategies to reduce OTA risk by
43 these species.

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45 **Keywords**

46 *Aspergillus steynii*, *Aspergillus westerdijkiae*, ecophysiological factors, ochratoxin A

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51 **1. Introduction**

52 Mycotoxins are toxic secondary metabolites produced by different fungal species. These
53 compounds are considered a high risk to human diet representing the larger group of
54 alert notifications which arrived to the European Rapid Alert System for Food and Feed
55 (RASFF) in 2011 (RASFF, 2012). One of the most important mycotoxins is ochratoxin
56 A (OTA) due to its high toxicity towards both animals and humans and presents
57 nephrotoxic, immunotoxic and teratogenic properties (Pfohl-Leszkowicz and
58 Manderville, 2007). The International Agency for Research on Cancer (IARC, 1993)
59 classified this toxin as a possible human carcinogen (group 2B). Traditionally, OTA has
60 been considered an important contaminant in different dietary products commonly
61 consumed by humans like cereals and cereal products, coffee, grapes and grape-
62 products (Duarte et al., 2010; Romani et al., 2000; Varga and Kozakiewicz, 2006).
63 However, recent studies regarding OTA incidence have reported its presence in an
64 increasing number of substrates among which nuts and dried fruits, spices, cocoa and
65 chocolate or liquorice are considered the most relevant (Pietri et al., 2010; Serra, 2004;
66 Zaied et al., 2010). The maximum OTA levels in all these products are strongly
67 regulated in the European Union (European Commission, 2006, 2010).

68 The majority of OTA-producing species are included in the genus *Aspergillus*. Several
69 species of *Aspergillus* section *Circumdati* are capable of producing OTA. Although *A.*
70 *ochraceus* used to be considered the most important OTA producer, particularly in
71 warm climates, new species of *Aspergillus* section *Circumdati* have been described and
72 they are also able to produce OTA, in particular *A. steynii* and *A. westerdijkiae* (Frisvad
73 et al., 2004). In a recently published work carried out in our group (Gil-Serna et al.,
74 2011), *A. steynii* has been reported as the main OTA-producing species within the
75 section with a 90% of producing strains and toxin levels 1000 times higher than *A.*

76 *ochraceus*, followed by *A. westerdijkiae* with a 75% of producing isolates and
77 production levels 100 times higher than *A. ochraceus*. Regarding to the percentage of
78 producing strains, *A. steynii* is also the most important species (90%), followed by *A.*
79 *westerdijkiae* (75%) and finally *A. ochraceus* with only a 22% of producing isolates
80 (Gil-Serna et al., 2011). Due to their recent description, the presence of these species
81 has been reported in few food matrices although currently they seem to be more
82 frequent than *A. ochraceus*. *A. westerdijkiae* and *A. steynii* occurrence has been
83 described in different products including coffee (Leong et al., 2007; Noonim et al.,
84 2008), grapes (Díaz et al., 2009), paprika (Santos et al., 2011) or barley (Mateo et al.,
85 2011).

86 Mycotoxin contamination of foodstuffs is very difficult to predict because it depends on
87 a wide range of ecophysiological factors either intrinsic or extrinsic. D'Mello &
88 MacDonald (1997) and Khalesi and Khatib (2011) suggested that the main factor
89 affecting fungal growth and OTA production is water activity (a_w) followed by
90 temperature, although the effect of substrate should not be disregarded. All these factors
91 are always interacting in the environment; therefore, fungal ability to grow and produce
92 mycotoxins is affected by a complex combination of parameters. Moreover, optimum
93 conditions for fungal growth are usually different from those for mycotoxin production.
94 The effect of ecophysiological factors on *A. ochraceus* has been extensively studied.
95 Both a_w and temperature have a great influence on this fungus and its optimal intervals
96 to grow and produce OTA were established between 25-30 °C and a_w 0.95-0.99 (Pardo
97 et al., 2005, 2006a, 2006b; Ramos et al., 1998). The ability of *A. ochraceus* to produce
98 OTA depends considerably on the substrate on which it is growing. For instance, Pardo
99 et al. (2006b) indicated that its capacity of producing OTA was higher on green coffee
100 beans than on barley.

101 Up to date, there are few data available regarding the effect of ecophysiological factors
102 on *A. westerdijkiae* and *A. steynii*. Abdel-Hadi and Magan (2009) studied the effect of
103 a_w and temperature on growth, sporulation and OTA production by these species in YES
104 medium. In a recently published work, Wawrzyniak et al. (2013) studied the effect of
105 storage conditions on *A. westerdijkiae* growth in stored barley.

106 The results obtained from culture media prepared from infusions of selected food
107 products have been considered so far a reasonable and good approximation to the
108 growth and toxin production patterns obtained in natural substrates (Pardo et al., 2005).
109 Furthermore, Ramos et al. (1998) found similar patterns of OTA production in media
110 prepared from infusions of barley and directly on cereal grains.

111 The aim of this work was to examine the effect of substrate composition, temperature
112 and a_w on fungal growth and OTA production by *A. steynii* and *A. westerdijkiae*. For
113 this purpose, two strains of each species from different origin were cultured in a
114 commonly used medium (CYA) and on matrix-based media prepared from paprika,
115 grapes, coffee, barley, anise and maize.

116

117 **2. Materials and Methods**

118 *2.1. Fungal strains*

119 Two strains of *A. westerdijkiae* were used in this study; the type species CECT 2948
120 and 3.58 isolated from grapes. Likewise, two isolates of *A. steynii* were evaluated in this
121 work Aso2 and 3.53, isolated from grapes and coffee, respectively. These strains were
122 kindly provided by Dr Sanchis (University of Lleida, Spain) and Dr Jimenez (University
123 of Valencia, Spain). The correct identification of all the isolates was confirmed using
124 species-specific PCR assays according to Gil-Serna et al. (2009). They were maintained
125 by regular subculturing on Potato Dextrose Agar (PDA, Pronadisa, Spain) at $25\pm 1^\circ\text{C}$ for

126 4-5 days and then stored at 4 °C until required and as spore suspension in 15% glycerol
127 at –80°C.

128

129 2.2. Media

130 The assays were carried out in CYA medium (Czapek Yeast Agar) and using different
131 matrix-based media. Paprika (*Capsicum annuum*, Spain), green coffee (*Coffea arabica*,
132 Brazil), white grape (*Vitis vinifera*, var. Vinalopo, Spain), anise (*Pimpinella anisum*,
133 Spain), barley (*Hordeum vulgare*, Spain) and maize (*Zea mays*, Spain) were used to
134 prepare the media. In all these food products, *A. westerdijkiae* and/or *A. steynii* have
135 isolated so far or these species have been detected directly on them by our group using
136 specific PCR protocols (Díaz et al., 2009; Leong et al., 2007; Mateo et al., 2011;
137 Noonim et al., 2008; Santos et al., 2011).

138 The matrix-based media used contained 3% (w/v) of each matrix with 20 g/l of agar
139 (Pronadisa, Spain). They were prepared by boiling 30 g of dry grounded matrix in 1 l of
140 distilled water for 30 min. Subsequently, the mixture was filtered through a double layer
141 of muslin and the volume was adjusted up to 1 l. Water activity was modified with
142 glycerol, a non-ionic solute, up to 0.928, 0.964 and 0.995 (Dallyn & Fox, 1980).

143

144 2.3. Inoculation, incubation and measurement of growth

145 Fungal conidia suspensions were prepared from sporulating cultures (7 day-old) on
146 Czapek-Dox Modified Agar (Pronadisa, Spain) and filtered through Whatman N° 1
147 paper. Concentrations were measured by microscopy using a Thoma counting chamber
148 and the suspensions were diluted up to a final concentration of 10^7 spores/ml. Two
149 microliters of these suspensions were placed in the centre of the plates prepared as

150 described above and they were incubated at 20, 24 and 28 °C. Each strain was
151 inoculated in two independent plates in each medium, a_w and temperature condition.
152 The diameter of the colonies was measured in two directions at right angles to each
153 other after 10 days of incubation and the average of these two values was used as
154 indicator of fungal growth.

155

156 *2.4. OTA evaluation*

157 OTA was extracted from the plates after 10 days of incubation as described elsewhere
158 (Bragulat et al., 2001). Three agar plugs were removed from different points of the
159 colony and extracted with 1 ml of methanol. OTA was measured in the extracts by High
160 Performance Liquid Chromatography (HPLC) on a reverse phase C18 column (Tracer
161 Extrasil ODS2; 5 μ m, 4.6 mm x 250 mm; Teknokroma, Barcelona, Spain) at 45 °C in a
162 Perkin Elmer Series 200 HPLC system coupled with a fluorescence detector (Perkin
163 Elmer, Massachusetts, USA) at excitation and emission wavelengths of 330 and 470 nm
164 respectively. The mobile phase contained monopotassium phosphate 4 mM pH 2.5 and
165 methanol (33:67) and the flow rate was 1 ml/min. OTA was eluted and quantified by
166 comparison with a calibration curve generated from OTA standards (OEKANAL[®],
167 Sigma-Aldrich, Steinheim, Germany).

168

169 *2.5. Sporulation analysis*

170 Three plugs (diameter 5 mm) adjacent of those used for OTA evaluation were removed
171 from each plate and introduced in 2 ml tubes containing 1 ml of saline solution (9 g/l
172 sodium chloride). Spores were separated mechanically from the medium by vortexing
173 and spore concentrations in the extracts were measured by microscopy using a Thoma
174 counting chamber. Spore concentrations were estimated by calculating the number of

175 spores/cm² taking into account that 1 ml of the spore suspensions in saline solution
176 came from three agar plugs (diameter 5 mm) with a total surface of 5.9x10⁻¹ cm² of
177 fungal colony.

178

179 2.6. *Statistical analysis*

180 SPSS 19 was used for statistical treatment of the results. None of the variables studied
181 showed a normal distribution; therefore, the non-parametric Kruskal-Wallis test was
182 used and post-hoc analyses were performed with corresponding U-Mann Whitney tests.
183 Correlation among the three parameters considered was studied by analysing Pearson
184 correlation index using pairwise comparisons (growth-OTA, growth-spores, OTA-
185 spores). In all cases, statistically significance was established at p±0.05.

186

187 **3. Results**

188 The Kruskal-Wallis test analysis performed did not reveal significant differences
189 between the two strains analyzed for each species in any of the experiments considered;
190 therefore, the results obtained for each species and treatment are represented as the
191 average of four values corresponding to the two replicates per strain.

192

193 3.1. *Effects of ecophysiological factors on fungal growth*

194 The results of fungal colony diameter of *A. westerdijkiae* and *A. steynii* in all media and
195 all conditions of temperature and a_w tested after 10 days of incubation are shown in
196 figure 1. The results of the statistical analyses are also displayed in table 1.

197

198 3.1.1. Effect of temperature

199 Fungal growth in CYA medium was affected significantly by temperature in both
200 species. *A. westerdijkiae* growth increased with temperature, although no significant
201 differences were detected between 24 and 28 °C. Similarly, *A. steynii* growth increased
202 with temperature. In this case, 28 °C had a higher permissive effect on growth than 24
203 °C and this higher than 20 °C.

204 The same statistical analysis was performed with the results of all matrix-based media
205 and the factor temperature and it was confirmed the overall positive effect of
206 temperature on growth in both *A. westerdijkiae* and *A. steynii*. Indeed, in general, the
207 shift from 20 °C to either 24 or 28 °C seemed to be critical to reach optimal values for
208 fungal growth in both species. However, when the results from each medium were
209 analyzed using the posthoc U-Mann Whitney test, some differences were detected (table
210 1).

211

212 3.1.2. Effect of water activity

213 Water activity significantly affected fungal growth in CYA medium in both species.
214 Fungal growth was significantly reduced at the lowest a_w (0.928), whereas no
215 differences were found between colony diameters at a_w 0.964 and 0.995 in both species.
216 Similar results were obtained for all matrix-based media when they were analyzed either
217 as a whole or independently using the posthoc test and, in all cases, the statistical
218 differences were only found between the lowest a_w tested and the two highest (table 1).

219

220 3.1.3. Effect of substrate

221 Both species showed similar growth pattern in CYA mediums. In general, and for both
222 species, CYA medium supported the highest growth values in comparison with any of
223 the matrix-based media tested. However, their optimal conditions for growth showed

224 differences depending on the medium considered. Both species were able to grow in all
225 the media tested with similar pattern, although appearance of the colonies varied
226 depending on the media (for instance, in pigmentation of mycelium and/or medium).
227 Significant differences in growth depending on the medium were observed in the case
228 of *A. steynii*. This species produced lower growth values in maize and anise based
229 media, significantly different from those obtained in paprika. *A. westerdijkiae* showed
230 no significant differences in growth in any of the media tested and colony diameter
231 reached similar values in all the cases.

232 The statistical analysis of all matrix-based media results as a whole suggested that *A.*
233 *westerdijkiae* grew significantly better than *A. steynii*. However, post hoc tests indicated
234 that this was only true in the case of anise-based medium, where their growth markedly
235 differed. Indeed, both species showed no differences in any of the other matrix-based
236 medium.

237

238 3.2. *Effects of ecophysiological factors on OTA production*

239 The results of OTA concentration values obtained measured by HPLC in both CYA and
240 matrix-based media and all conditions of temperature and a_w tested are shown in figure
241 2. Statistical analyses are also summarized in table 2. OTA production profiles were
242 very different in both species. *A. steynii* was able to produce the toxin in a wider set of
243 conditions and at significant higher levels than *A. westerdijkiae* in all media and
244 conditions tested.

245

246 3.2.1. Effect of temperature

247 *A. westerdijkiae* ability to produce OTA was not statistically affected by temperature in
248 CYA medium although a tendency was observed regarding an increased OTA

249 concentration at the highest level of temperature tested. On the other hand, *A. steynii*
250 reduced significantly OTA production at the lowest (20 °C) (figure 2 and table 2).
251 Temperature showed a significant influence on the ability to produce OTA in both
252 species but the effect varied widely along the media. Generally speaking, the lowest
253 temperature (20 °C) had a negative effect on OTA production in both species (figure 2
254 and table 2).

255

256 3.2.2. Effect of water activity

257 The ability to produce OTA in CYA medium by both species was significantly affected
258 by a_w . In both cases, the lowest a_w value (0.928) negatively affected toxin production.
259 Additionally, the effect of a_w varied widely in both species depending on the matrix-
260 based media used, suggesting the existence of an a_w -substrate interaction (figure 2). In
261 general, OTA production increased with temperature and a_w , particularly in the case of
262 *A. steynii*.

263

264 3.2.3. Effect of substrate

265 OTA production was markedly affected by substrate and showed qualitative and
266 quantitative differences between both species (figure 2).

267 OTA production by *A. westerdijkiae* was detected in all the media except in grape-based
268 medium, showing significant lower values than *A. steynii*. The highest production by *A.*
269 *westerdijkiae* was found in CYA, paprika and barley-based media. *A. steynii* was able to
270 produce OTA in all the media at markedly higher values than *A. westerdijkiae*. CYA,
271 paprika and barley were also the substrates which induced higher OTA production by *A.*
272 *steynii*. The lowest values were obtained from anise and particularly grape-based
273 medium.

274 The optimal conditions for OTA production in CYA medium were different for each
275 species and resulted in highly different values. At the optimal conditions for this
276 medium (28 °C and $a_w=0.995$), the maximum OTA concentration obtained for *A. steynii*
277 was 128.66 µg/g whereas 2.36 µg/g were obtained for *A. westerdijkiae* (24 °C and
278 $a_w=0.995$). Maximum OTA levels in matrix-based media differed from those obtained
279 in CYA medium. Optimal conditions (28 °C and $a_w 0.995$) for OTA production by *A.*
280 *steynii* in matrix-based media were obtained in paprika (43.32 µg/g). The highest OTA
281 production in matrix-based medium by *A. westerdijkiae* were also obtained in paprika
282 (10.28 µg/g) in this case at 24 °C and $a_w 0.964$.

283

284 3.3. Effects of ecophysiological factors on sporulation

285 The influence of abiotic factors (temperature, a_w and substrate) on sporulation was
286 analyzed in both species as spores/cm². Sporulation analysis was only carried out at the
287 highest a_w levels (0.964 and 0.995) due to insufficient amount of mycelium grown at the
288 lowest a_w value. The results of spore concentration obtained for both species on the
289 matrix-based media are shown in table 3. No data were obtained in CYA medium since
290 sporulation was poor or not detected at all. The statistical analysis indicated that there
291 were no differences between both species, although in all cases *A. westerdijkiae*
292 produced higher spore concentrations than *A. steynii*.

293 Both species showed similar pattern in relation with the substrates. The spore
294 concentration was highest in paprika, followed by coffee, anise and barley. The lowest
295 concentration was found in maize and particularly in grape-based medium. Generally
296 speaking, spore concentration was positively affected by increasing temperature in *A.*
297 *westerdijkiae* whereas no effect of a_w was found except for maize-based medium. On
298 the other hand, a positive effect of increasing a_w values on sporulation was significant in

299 the case of *A. steynii* and temperature did not affect sporulation in any case but in
300 paprika-based medium.

301

302 *3.4. Correlation analysis among growth, OTA production and sporulation*

303 Single correlation among the three factors analyzed in pairs was studied using Pearson
304 index. Correlations were studied globally and in each media separately. In any case,
305 correlation values were not higher than 0.3; therefore, no correlation does exist between
306 growth-OTA production, growth-sporulation or OTA production-sporulation.

307

308 **4. Discussion**

309 The effect of temperature, a_w and substrate on growth, OTA production and sporulation
310 rate was examined in *A. westerdijkiae* and *A. steynii*. All the information previously
311 available on the relative importance of these ecophysiological factors on both growth
312 and OTA production in *Aspergillus* Section *Circumdati* species is practically limited to
313 *A. ochraceus*, and published prior to the description of *A. westerdijkiae* and *A. steynii* as
314 new species. Furthermore, it is important to note that many works previously performed
315 used the strain NRRL 3174 (=CECT 2948), considered for a long time as the type
316 species of *A. ochraceus*. However, Frisvad et al. (2004) re-classified this isolate and
317 nowadays is considered the type species of *A. westerdijkiae*. Several authors have
318 reported high variability among isolates of *A. ochraceus* in ecophysiological studies
319 (Pardo et al., 2004, 2006b). However, this could be explained by assuming that the
320 group of isolates of *A. ochraceus* used by these authors could also include members of
321 any of the other two species (*A. westerdijkiae* or *A. steynii*). In our study, no
322 intraspecific variability has been found. Furthermore, the performance of the isolates in

323 the different matrix-based media had no relationship with the matrix from where the
324 strains tested were isolated.

325 In order to facilitate discrimination among species within Section *Circumdati*, specific
326 PCR-based assays were developed by our group and they have been used to confirm the
327 identification of the isolates from *A. westerdijkiae* and *A. steynii* used in this study (Gil-
328 Serna et al., 2009). Therefore, this is the first work to our knowledge with these two
329 recently described species in food matrix-based substrates. There is only a similar report
330 on the influence of temperature and a_w on these species performed in YES medium
331 (Abdel-Hadi and Magan, 2009). When growth profiles regarding temperature and a_w
332 from this study were compared with those obtained in our case in CYA medium, similar
333 permissive and optimal conditions were observed. Similarly, OTA production by both
334 species was optimal at higher temperatures and a_w and decreased at lower temperature
335 and a_w . In our study, *A. steynii* produced higher OTA levels than *A. westerdijkiae* in all
336 conditions whereas Abdel-Hadi and Magan (2009) reported that OTA production by *A.*
337 *westerdijkiae* was higher than by *A. steynii* at low temperature and a_w . This might be
338 caused by substrate or strain differences. Influence of substrate has been reported by
339 Pardo et al. (2005). These authors observed large variations in OTA values among
340 different culture media. Wawrzyniak et al. (2013) studied the effect of ecophysiological
341 factors (temperature and a_w) on *A. westerdijkiae* growth in stored barley and also
342 suggested that a_w was a critical factor regarding fungal growth in this product.

343 In general, optimal ecophysiological conditions for growth and OTA production are
344 similar and basically coincident in both species. These conditions predict higher risk for
345 both fungal and OTA contamination at temperatures higher than 24 °C and higher a_w
346 (0.96-0.99). Risk would decrease at temperatures close to 20 °C and a_w lower than 0.92.
347 On the other hand, the high OTA production by *A. steynii* observed in this study

348 indicated that the presence of this species even at very low levels would represent a
349 much higher risk of OTA contamination than *A. westerdijkiae*.

350 The importance of food matrix on growth and OTA production was studied in a wide
351 sample of matrix-based culture media. These products were selected because both
352 species had been previously detected or isolated from those food matrices in our group
353 or reported by other authors (Díaz et al., 2009; Mateo et al., 2011; Noonim et al., 2008;
354 Santos et al., 2011). Our results indicated that the effect on growth and OTA production
355 depended on the food matrix considered.

356 In the case of growth profiles, in general, both *A. westerdijkiae* and *A. steynii* species
357 were similar in all the media although *A. westerdijkiae* showed higher values than *A.*
358 *steynii*. However, differences between both species were found in the case of OTA
359 production. On the other hand, although these species were able to grow in all the
360 substrates tested, OTA production was highly variable among the substrates and
361 between both species. This fact was in agreement with Lai et al. (1970) who described
362 that the composition of the medium had little effect on fungal growth, although it was
363 very important for their ability to produce OTA. Moreover, it has been suggested that
364 OTA production and growth might not be directly correlated, and that toxin
365 biosynthesis did not occur in all the conditions that the fungus was able to grow
366 (Mühlencoert et al., 2004, Pardo et al. 2006a). In our work no significant correlation
367 was found between OTA production and fungal growth. Therefore, fungal growth could
368 not be used as an indicator of OTA contamination by these species in food products.
369 The different influence of the food matrices tested on growth and especially on OTA
370 production might be due to their different composition as suggested by some authors.
371 These have reported that the predominant carbon source in the medium have a slight
372 effect on fungal growth whereas it is critical for the OTA production ability by

373 *Aspergillus* species (Medina et al., 2008; Mühlencoert et al., 2004). These authors
374 pointed out that *Aspergillus* section *Circumdati* species produce higher amounts of toxin
375 when the carbon source in the medium is sucrose and lower amounts when the sugar
376 available is fructose (Medina et al., 2008; Mühlencoert et al., 2004). In grapes, besides
377 water, sugars are the most abundant compounds being fructose by far the most abundant
378 (Dharmadhikari, 1994). In agreement with these reports, our results indicate that the
379 grape-based medium was suitable for both species to grow but they hardly produce
380 OTA (very low levels by *A. steynii*, and no production by *A. westerdijkiae*). The results
381 obtained in this work also agree with other authors (Kapetanakou et al., 2009; Pardo et
382 al., 2006b) who observed a very limited OTA production by species of *Aspergillus*
383 section *Circumdati* in grapes or derivatives when compared with the production of the
384 isolates in other commodities.

385 On the contrary, the *Aspergillus* species studied in this work achieved the highest values
386 of both growth and OTA production in paprika-based medium. *Capsicum annuum* fruits
387 (from which paprika is obtained) contained high amounts of sucrose and glucose
388 (Prabha et al., 1998) which, as said above, might positively affected OTA production by
389 these species.

390 Several authors have demonstrated the ability to produce OTA by *A. ochraceus* in
391 cereals (Pardo et al., 2006b; Ramos et al., 1998). In our study, both *A. westerdijkiae* and
392 *A. steynii* showed high growth and OTA production on cereal-based media (particularly
393 in barley). Carbon source might also be critical to explain these results. Different studies
394 have shown that several toxigenic fungi are able to use starch as unique carbon source
395 to grow which is also suitable for toxin production (Bluhm and Woloshuk, 2005;
396 Roussos et al., 2006). These authors performed these works using different aflatoxins
397 and fumonisin-producing species; therefore, more studies should be conducted to

398 confirm if these previous results would be also applied to OTA-producing species, and
399 particularly to *A. westerdijkiae* and *A. steynii*.

400 As mentioned above, *A. ochraceus* was considered for a long time the main source of
401 OTA in coffee (Batista et al., 2003; Taniwaki et al., 2003; Urbano et al., 2001) and
402 several works have studied OTA production by this fungus in this matrix (Mantle and
403 Chow, 2000, Pardo et al., 2005, 2006b). The optimal conditions for OTA production by
404 *A. ochraceus* reported by these authors are in agreement with those obtain in our work
405 for *A. westerdijkiae* and *A. steynii* (28 °C and a_w 0.99). However, toxin production levels
406 of all isolates tested had lower OTA production capacity in this coffee-based medium
407 than in others such as paprika or barley, even when fungal growth was similar in all
408 cases. Several studies have shown that one component of coffee, caffeine, can reduce or
409 even completely inhibit OTA production by ochratoxigenic *Aspergillus* of section
410 *Circumdati* (Buchanan et al., 1982; Suárez-Quiroz et al., 2004). Therefore, the reduction
411 in OTA production in green coffee-based medium could be due to the presence of this
412 compound and *A. westerdijkiae* seemed to be more susceptible than *A. steynii*.
413 According to the results obtained, the presence of *A. steynii* in coffee might represent a
414 greater risk of OTA contamination than if the fungus detected is *A. westerdijkiae*.

415 The results obtained in this work suggest that *A. steynii* and *A. westerdijkiae* would be
416 considered as a great potential risk of OTA contamination due to their high capacity of
417 producing OTA in a variety of conditions and commodities. These species are expected
418 particularly in warm climates or storage conditions although in some cases their growth
419 is not a good indicator of OTA production. The most relevant substrates regarding their
420 expected OTA contamination and/or their dietary importance are cereals (mainly barley)
421 and paprika. On the other hand, since their high ability to produce OTA (both levels of
422 OTA production and percentage of OTA producing strains), particularly in the case of

423 *A. steynii*, a highly sensitive assay for specific detection of these species on food
424 products might be a crucial point in control and prevention strategies. Currently, there
425 are a number of simple, fast and sensitive tools based on PCR to detect them, even
426 directly in food matrices (Gil-Serna et al., 2009).

427 Brodhagen and Keller (2006) reported that sporulation and mycotoxin production might
428 be related because their synthesis routes are regulated similarly. However, the results
429 obtained in this work indicated that *A. steynii* is capable of producing higher levels of
430 OTA, although it is not able to produce more spores than *A. westerdijkiae*. Moreover,
431 no significant correlation was found between OTA production and sporulation rate;
432 therefore, sporulation could not be considered as a good indicator of OTA production in
433 these species.

434 This study provides interesting data on the ability to grow and produce OTA by *A.*
435 *steynii* and *A. westerdijkiae*, the most important ochratoxigenic species included in
436 *Aspergillus* section *Circumdati*. The results obtained in this work could be applied to
437 predict the risk of OTA contamination in a variety of food products.

438

439 **Acknowledgements**

440 This work was supported by the Spanish Ministry of Science and Innovation (AGL
441 2010-22182-C04-01/ALI) and by the UCM-BSCH (GR58/08). Jéssica Gil-Serna is
442 supported by a research grant for young scientist awarded by the Institute DANONE.

443

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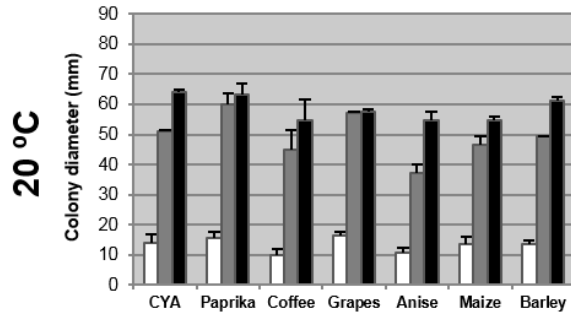
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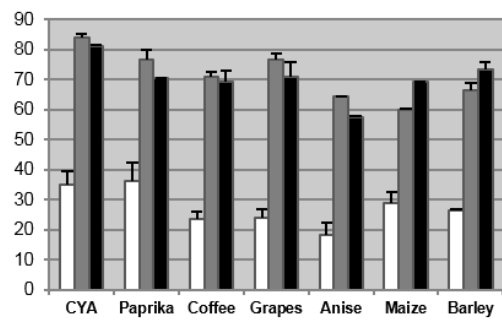
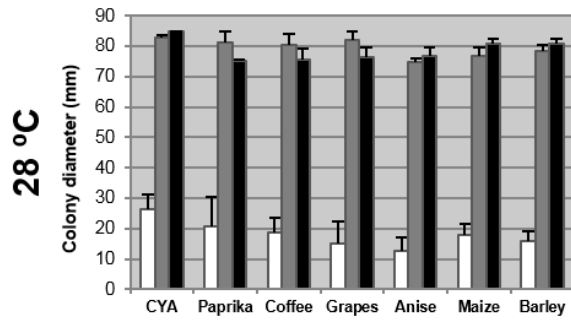
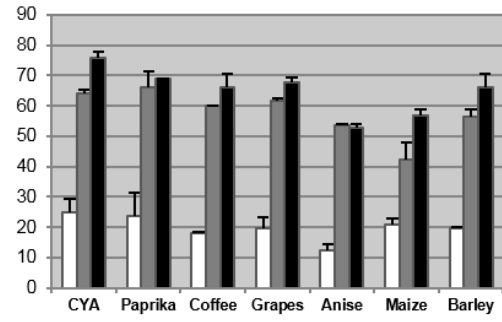
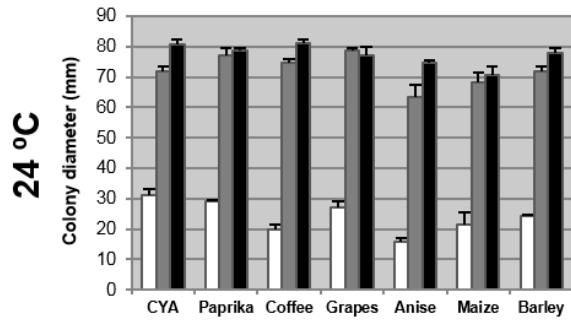
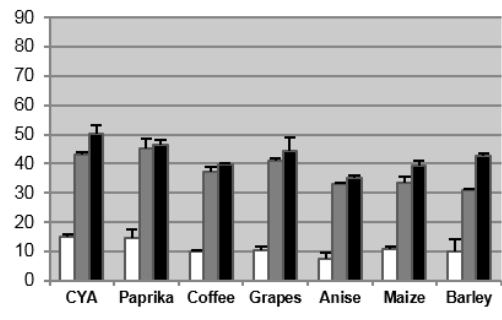
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A. westerdijkiae



A. steynii



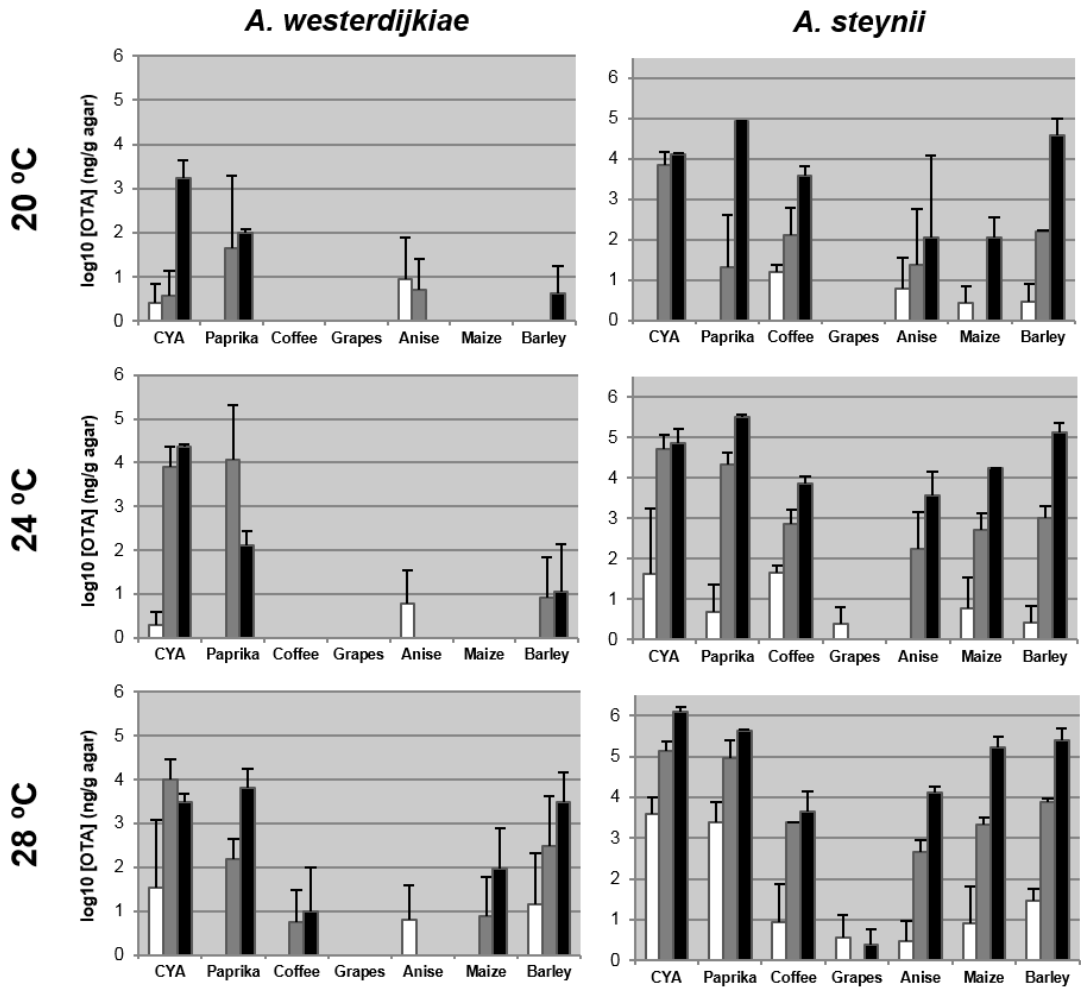


Figure 1. Evaluation of fungal growth by *A. westerdijkiae* (left) and *A. steynii* (right) in different media at all temperatures and a_w assayed (white bars $a_w=0.928$; grey bars $a_w=0.964$; black bars $a_w=0.995$). In all the cases, the results are the average of both replicates of the two strains analyzed and their standard deviation is indicated as vertical thin lines.

Figure 2. OTA production by *A. westerdijkiae* (left) and *A. steynii* (right) in CYA and matrix-based media at different temperatures and a_w (white bars $a_w=0.928$; grey bars $a_w=0.964$; black bars $a_w=0.995$). To facilitate graphs interpretation, \log_{10} of OTA concentration (ng/g) is represented. In all the cases, the results are the average of both replicates of the two strains analyzed and their standard deviation is indicated as vertical thin lines.

<i>A. westerdijkiae</i>		CYA	Paprika	Coffee	Grapes	Anise	Maize	Barley
Media		A	A	A	A	A	A	A
a_w	0.928	a	a	a	a	a	a	a
	0.964	b	b	b	b	b	b	b
	0.995	b	b	b	b	b	b	b
Temperature	20°C	1	1	1	1	1	1	1
	24°C	2	2	2	1	1	2	2
	28°C	2	1 2	2	1	1	2	2

<i>A. steynii</i>		CYA	Paprika	Coffee	Grapes	Anise	Maize	Barley
Media		C	C	ABC	BC	A	AB	ABC
a_w	0.928	a	a	a	a	a	a	a
	0.964	b	b	b	b	b	b	b
	0.995	b	b	b	b	b	b	b
Temperature	20°C	1	1	1	1	1	1	1
	24°C	2	2	2	2	2	1	2
	28°C	3	2	2	2	3	2	2

Table 1. Summary of the statistical analyses of fungal growth obtained for *A. westerdijkiae* (above) and *A. steynii* (below) in the 7 culture media at different conditions of temperature and a_w . The effect of the medium was evaluated including all the data obtained in the different conditions tested for each medium and the results are indicated by capital letters. The effect of temperature and a_w was independently evaluated for each medium and the results are indicated by small letters (a_w) and numbers (temperature). The same letter or number indicates no statistically significant differences among groups and the lower the number or the letter (alphabetical order), the lower the growth value (average).

<i>A. westerdijkiae</i>		CYA	Paprika	Coffee	Grapes	Anise	Maize	Barley
Media		D	D	B	A	BC	B	CD
a_w	0.928	a	a	a	a	a	a	a
	0.964	b	b	a	a	b	a	a
	0.995	b	b	a	a	b	a	a
Temperature	20°C	1	1	1	1	1	1	1
	24°C	1	1	1	1	1	1	1
	28°C	1	1	2	1	1	2	2

<i>A. steynii</i>		CYA	Paprika	Coffee	Grapes	Anise	Maize	Barley
Media		E	DE	CD	A	B	BC	DE
a_w	0.928	a	a	a	a	a	a	a
	0.964	b	b	b	a	ab	b	b
	0.995	b	c	c	a	b	c	c
Temperature	20°C	1	1	1	1	1	1	1
	24°C	2	1 2	1	1	1	2	1
	28°C	2	2	1	1	1	2	1

Table 2. Summary of the statistical analyses of OTA production obtained for *A. westerdijkiae* (above) and *A. steynii* (below) in the 7 culture media at different conditions of temperature and a_w . The effect of the medium was evaluated including all the data obtained in the different conditions tested for each medium and the results are indicated by capital letters. The effect of temperature and a_w was independently evaluated for each medium and the results are indicated by small letters (a_w) and numbers (temperature). The same letter or number indicates no statistically significant differences among groups and the lower the number or the letter (alphabetical order), the lower the OTA production value (average).

		<i>A. westerdijkiae</i>			
		a_w	Sporulation (million of spores/cm ²)		
			20°C	24°C	28°C
D	Paprika	0.964 ^a	89.3 ± 38.9	144.0 ± 70.0	227.0 ± 131.0
		0.995 ^a	129.0 ± 42.1 ¹	139.0 ± 6.5 ¹	195.0 ± 47.5 ¹
C	Coffee	0.964 ^a	43.4 ± 1.0	71.5 ± 2.7	107.0 ± 17.0
		0.995 ^a	41.9 ± 11.7 ¹	80.3 ± 9.2 ²	104.0 ± 45.8 ²
A	Grapes	0.964 ^a	4.2 ± 2.7	6.6 ± 4.8	10.4 ± 8.7
		0.995 ^a	14.4 ± 2.8 ¹	15.4 ± 13.3 ²	24.3 ± 23.6 ²
C	Anise	0.964 ^a	44.0 ± 11.9	37.7 ± 15.8	62.7 ± 26.8
		0.995 ^a	35.1 ± 8.2 ¹	43.0 ± 29.3 ¹	104.0 ± 16.1 ²
B	Maize	0.964 ^a	21.1 ± 4.6	25.5 ± 17.5	44.5 ± 27.3
		0.995 ^b	23.5 ± 5.1 ¹	24.4 ± 6.2 ¹	49.7 ± 1.5 ¹
C	Barley	0.964 ^a	43.8 ± 6.1	57.3 ± 26.1	97.3 ± 42.0
		0.995 ^a	34.6 ± 5.0 ¹	49.1 ± 17.0 ¹	97.1 ± 13.3 ²

		<i>A. steynii</i>			
		a_w	Sporulation (million of spores/cm ²)		
			20°C	24°C	28°C
E	Paprika	0.964 ^a	77.4 ± 15.9	111.0 ± 25.2	134.0 ± 16.5
		0.995 ^b	102.0 ± 21.2 ¹	171.0 ± 4.2 ²	144.0 ± 27.6 ²
CD	Coffee	0.964 ^a	22.4 ± 2.8	32.1 ± 2.7	36.7 ± 7.3
		0.995 ^b	52.4 ± 8.1 ¹	62.3 ± 6.5 ¹	57.3 ± 9.4 ¹
A	Grapes	0.964 ^a	0.8 ± 0.0	0.8 ± 0.3	0.8 ± 0.6
		0.995 ^b	10.6 ± 0.4 ¹	12.5 ± 5.0 ¹	6.1 ± 0.3 ¹
D	Anise	0.964 ^a	25.4 ± 1.5	33.5 ± 5.6	36.7 ± 1.5
		0.995 ^b	44.6 ± 6.9 ¹	54.9 ± 4.6 ¹	90.1 ± 6.6 ²
B	Maize	0.964 ^a	10.5 ± 0.2	9.9 ± 3.8	32.8 ± 15.0
		0.995 ^b	41.5 ± 0.3 ¹	40.5 ± 5.3 ¹	40.8 ± 3.8 ¹
C	Barley	0.964 ^a	19.3 ± 3.4	32.1 ± 10.9	37.9 ± 0.5
		0.995 ^b	55.9 ± 2.0 ¹	54.9 ± 7.2 ¹	52.7 ± 2.9 ¹

^{1, 2} Differences among temperatures in each media. Different numbers indicate statistical differences among groups.

^{a, b} Differences among water activity levels in each media. Different letters indicate statistical differences among groups.

^{A, B, C, D, E} Differences among media in each species. Different letters indicate statistical differences among groups.

Table 3. Effects of substrate, temperature and a_w on sporulation by *A. westerdijkiae* (above) and *A. steynii* (below) in different culture media. In all the cases, results are the average of both replicates of the two strains analyzed \pm standard deviation. The results of statistical analysis are also displayed. The effects of a_w and temperature were independently evaluated in each medium