Molecular-scale shear response of the organic semiconductor \(\beta\)-DBDCS (100) surface

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In this work we present friction-force microscopy (FFM) lattice-resolved images acquired on the (100) facet of the semiconductor organic oligomer (2Z,2′Z)-3,3′-(1,4-phenylene)bis(2-(4-butoxyphenyl)acrylonitrile) (\(\beta\)-DBDCS) crystal in water at room temperature. Stick-slip contrast, lateral contact stiffness, and friction forces are found to depend strongly on the sliding direction due to the anisotropic packing of the molecular chains forming the crystal surface along the [010] and [001] directions. The anisotropy also causes the maximum value of the normal force applicable before wearing to increase by a factor of 3 when the scan is performed along the [001] direction on the (100) face. Altogether, our results contribute to achieving a better understanding of the molecular origin of friction anisotropy on soft crystalline surfaces, which has been often hypothesized but rarely investigated in the literature.

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I. INTRODUCTION

Friction anisotropy has been a research focus since the 1960s, when Steijn [1] and Bowden et al. [2] independently published their pioneering work on ionic crystals. The contrasting results reported by the two groups could be related to the variation in the wear rates with the sliding directions, as done by Sawyer et al. using more accurate instrumentation [3]. Friction anisotropy is also observed in the absence of abrasive wear. This was demonstrated by Hirano et al. [4] for the friction dependence on the crystallographic orientation on a mica surface, as observed by friction-force microscopy (FFM). Since then, a great deal of effort has been deployed to fully understand this effect. Friction anisotropy has been studied extensively using FFM on several systems, e.g., graphite [5], lipid monolayers [6–8], fullerene islands [9], polytetrafluoroethylene (PTFE) [10], graphene [11,12], \(\beta\)-alumina [13], and even quasicrystal surfaces [14]. Friction anisotropy has been related to molecular organizations, topological properties, and deformation processes such as the orientation of the constituent alkyl chains [6] and the aperiodicity along specific directions in the case of quasicrystal surfaces [14]. In the case of graphene a “puckering” effect was suggested by Choi et al. [11] but was later dismissed by Gallagher et al., who rather related the friction anisotropy to the presence of adsorbates on the surface [12]. On graphite [15,16] the anisotropy can be simply associated with the crystalline structure of the substrate.

It is also worth mentioning the combined molecular dynamic (MD) simulations and microtribological measurements at interfaces of PTFE films by Jang et al. [10]. In that work, the molecular structural orientation of the PTFE chains was found to strongly affect tribological properties. When the chains are sheared parallel to their backbones, friction forces decrease, and interfacial slip is enhanced. In contrast, when the shear direction is perpendicular to the backbones, friction and wear increase due to molecular reorientation. MD simulations have also been reported on quasicrystal surfaces by Ye et al., who, interestingly, could recover friction anisotropy only after passivating the probing tip with chains of hexadecane thiol [17].

Lattice-resolved friction maps are very important for supporting any theoretical interpretations of the mechanisms leading to friction anisotropy. However, lattice resolution is difficult to achieve in ambient conditions due to the formation of a water meniscus between the probing tip and surface because of capillary condensation. A costly alternative is to measure the friction in ultrahigh-vacuum conditions [12,18], but as shown by some of us [19–22], this obstacle can also be overcome if the tip is completely immersed in a liquid (e.g., water), so that capillary bridges between the tip and surface are not formed. In this way, we were able to relate the strong friction anisotropy observed on the (104) cleavage surface of calcite and dolomite to the pathways followed by the tip driven along different scan directions [21]. This work led to an understanding of the relation between surface commensurability and friction anisotropy with submolecular resolution of a kyanite
surface [23]. We were also able to perform structural characterization on soft materials, such as organic semiconductor crystal surfaces [20]. In the present work, we have extended this kind of analysis by focusing on the mechanical anisotropy of the organic semiconductor (2Z,2’Z)-3,3’-(1,4-phenylene)bis(2-(4-butoxyphenyl)acrylonitrile) (β-DBDSCS) crystal. Organic semiconductors have found much interest as alternatives to their inorganic counterparts as easily processable materials with tunable electronic properties, with applications in cheap, thin, light-weight, and flexible (opto)electronics such as field-effect transistors, light-emitting diodes, solar cells, and lasing, sensing, or optical memory devices [24–26]. Although the (opto)electronic properties of conjugated materials were studied for a multitude of compounds, the mechanical response of these semiconductors, especially at the nanoscale, has attracted only limited attention [27–33], even though important correlations may exist. A striking example is mechanochromism [34–37], i.e., piezo-induced color change through crystal-to-crystal or crystal-to-amorphous transitions, which are often reversed through other external stimuli (vapor, temperature). A detailed understanding of piezo-induced phase transitions requires insight into crystal formation controlled by nanomechanical properties, which can be studied by atomic-force microscopy (AFM) at the nanoscale [27]. Furthermore, the formation of grain boundaries, which control the microtexture and thus, to a large extent, charge and exciton transport in the devices [38,39], is directly related to the nanomechanical properties at the surface of the crystallites, where AFM provides again nanoscale structural information [28,30]. This equally holds for thin films and crystal surfaces [19,20], where, for instance, the quality of lasing in the crystal is controlled by habit (relative shape) and tracht (size, shape, and homogeneity of the facets) of the crystal as well as by the orientation of the molecules with respect to the crystal facets [40,41]. All these parameters are controlled by the molecular electronic and steric demands. Therefore, a fundamental understanding of nanomechanical properties is crucial for materials design. With this in mind, we have studied the response of β-DBDSCS to the shear stress exerted by the AFM tip. As in previously reported cases [19,21,22], measurements are performed in water, where the enhanced lateral resolution allows us to draw quantitative conclusions and precisely relate friction coefficients and lateral contact stiffness to the scan direction. To support the experimental results we have also performed free-energy calculations, the details of which are provided below.

II. MATERIALS AND METHODS

A. β-DBDSCS samples

The present study focuses on monocrystalline samples. The synthesis, chemical characterization, details on single-crystal growth, and x-ray analysis of β-DBDSCS were described elsewhere [29]. Single crystals were grown from a solvent mixture of ethanol and ethyl acetate (1:1) by slow evaporation. The monoclinic (P21/c) crystals have the following lattice parameters: \(a = 2.78\) nm, \(b = 0.70\) nm, \(c = 0.66\) nm, \(\alpha = \gamma = 90.0^\circ\), and \(\beta = 90.1^\circ\). The crystallographic data were obtained from the Cambridge Crystallographic Data Centre (CCDC: 969314, 969315). Combined AFM, single-crystal (SC), and specular x-ray diffraction (XRD) analysis showed that the β-DBDSC large crystal face studied in the current work corresponds to the (100) plane [40]. The crystals are expected to be cleavable on the (100) plane because the surface is formed by floppy alkyl chains [see Fig. 1(a)]. Within the β-DBDSCS (100) plane the alkyl chains are not densely packed, so there is some flexibility to move the chains in both the [010] and [001] directions, as presented in Figs. 1(b) and 1(c), respectively.

B. Atomic-force microscopy

The (100) faces of β-DBDSCS crystals were scanned at room temperature with two commercial AFMs (Nanoscope MultiMode IIIa, Veeco Instruments, Santa Barbara, California, and Nanowizard II, JPK Instruments, Berlin, Germany). A monocrystal surface was first characterized by contact mode in air conditions using OMCL-RC800PSA probes (resonance frequency of 18 kHz, force constant of 0.05 N/m) from Olympus (Tokyo, Japan). The imaging was performed with a scan rate of 0.5 Hz, a scan size of 6 \(\times\) 4 \(\mu\)m\(^2\), and a grid of 512 \(\times\) 343 pixels\(^2\). To achieve lattice resolution the sample was placed in a commercially available AFM liquid cell which was subsequently filled with deionized water (Milli-Q Millipore, Millipore, Molsheim, France, with specific resistivity of 18 M\(\Omega\) cm) and scanned in contact mode. In this case, V-shaped silicon nitride cantilevers with integrated ultrasharp silicon tips SNL-10 D (nominal resonance frequency of 18 kHz, nominal force constant of 0.06 N/m, tip radius of 10 nm) from Bruker (Camarillo, California) were used. FFM images were obtained with the fast scan direction oriented along either the [010] direction or the [001] direction, with different load values up to 7 nN, a scan rate of 61 Hz, a scan size of 10 \(\times\) 10 nm\(^2\), and a resolution of 512 \(\times\) 512 pixels. All the images were processed using NANOTEC WSXM V4.0 BETA 8.1 (WSxM Solutions, Madrid, Spain) [42], JPK DATA PROCESSING V1.2 (JPK Instruments USA, Inc., Carpintera, California), and NANOSCOPE ANALYSIS V1.50 (Bruker, Santa Barbara, California). The torsion of the cantilever spring holding the probing tip during forward and backward scanning was converted into friction force, and the
deflection of the cantilever was converted into normal force as described elsewhere [43]. The torsional constant of the cantilever was estimated as in Ref. [44].

C. Free-energy calculations

All free-energy calculations were performed with the NAMD code [45]. The $\beta$-DBDCS molecule was modeled with the generalized AMBER force field [46] completed with B3LYP/6-311G* atomic point charges. Torsional potentials for the phenyl-vinyl and phenyl-CC $\equiv N$ rotation and for all the dihedrals along the oxybutyl chain were calculated with relaxed scans at the B3LYP/6-311G* level and inserted in the force field (see Ref. [47] for the details of the procedure). Standard Lorentz-Berthelot mixing rules [contact distances $\sigma_{ij} = (\sigma_i + \sigma_j)/2$, well widths $\epsilon_{ij} = \sqrt{\epsilon_i \epsilon_j}$] were employed for modeling Lennard-Jones (LJ) interaction between different atom types (e.g., for tip-surface interaction). A radial cutoff of 1.6 nm was used for LJ interactions, and long-range electrostatic interactions were calculated with the smooth-particle-mesh Ewald method as implemented in NAMD [45].

A monoclinic supercell made of 256 molecules ($2 \times 8 \times 8$ unit cells) was equilibrated for 0.2 ns in the $NVT$ ensemble at $T = 173$ K and then for 1 ns in the $NPT$ ensemble at $p = 1$ atm, with three-dimensional periodic boundary conditions. The simulated cell parameters ($a = 2.783$ nm, $b = 0.692$ nm, $c = 6.89$ Å, $\alpha = 90^\circ$, $\beta = 90.07^\circ$, $\gamma = 90^\circ$, with standard deviations below 0.01%) showed good agreement with experimental values measured at the same temperature (reported in Sec. II A). The system was then reequilibrated at 300 K for 10 ns. Finally, the molecules belonging to the lower monolayer were frozen, while the upper monolayer was relaxed for a further 5 ns, with periodic boundary conditions on the monolayer plane and vacuum on top of it.

Adaptive biasing force (ABF) simulations were then run for 10 ns to explore (i) the energetic corrugation of the surface and (ii) the potential energy required for displacing an alkyl chain exposed to the surface, in both cases under constant volume and temperature conditions ($T = 300$ K). During ABF simulations the calculated mean forces, acting on user-defined collective variables, are compensated by an opposite and equal force at each (discretized) value of the variables [48]. This stratagem helps the system explore the entire phase space and overcome high potential-energy barriers. The free-energy surface is then obtained by integrating the measured force along the collective variables (hyper)surface. The corrugation of the surface was probed with a spherical Lennard-Jones probe with an equilibrium radius $R_{eq} = 0.3$ nm ($\sigma = 0.5345$ nm) and $\epsilon = 0.2$ kcal/mol. The collective variables explored were the components of the distance vector from joining the sphere to a specific methyl terminal group exposed to the surface. The free energy (or potential of the mean force) experienced by the tip sampled within a volume of about $0.7 \times 0.7 \times 2.2$ nm$^3$ along the [001], [010], and [001] axes was averaged on the (001) plane at each value of the distance from the surface in order to locate the position of maximum tip-surface interaction and identify the repulsive region of the potential. Figure 2(c) shows a full $x,y$ map in such a region, corresponding to the spherical tip being moved 0.05 nm closer to the surface with respect to the position of maximum interaction. In a further simulation experiment, we measured instead the free energy required for displacing an alkyl chain, exposed at the surface, away from its equilibrium position. In this case the collective variables consist of the $x,y$ distance of a methyl group exposed to the surface, with the minimum-energy position set at (0,0). Hence, in Fig. 2(d) each point on the map represents the position of the methyl with respect to the one at rest, with the color giving the corresponding free energy.

III. RESULTS AND DISCUSSION

As a first step, we investigated the surface of a single-crystal $\beta$-DBDCS by contact-mode AFM in air. As seen in Fig. 3(a), the surface is formed by atomically flat terraces with a width of a few micrometers. A characteristic terrace height of around 2.8 nm has been measured [Fig. 3(b)], which coincides with the length of lattice constant $a = 2.82$ nm [40].
the lateral contact stiffness of the AFM tip. While the tip scans the sample surface, the cantilever twists periodically. This torsion is determined by the interaction potential \( U_0 \) to a sinusoidal curve in the former case, while it resembles a \( \pi \)-periodic one in the latter. 

For the sample directions [010] and [001], respectively, the parameter \( \eta \), the ratio between the corrugation of the tip-sample interaction potential \( U_0 \) and the elastic energy stored in the deformed contact, can be calculated according to the relation

\[
\eta = \frac{2\pi F_{\text{max}}}{k_{\text{exp}}\lambda} - 1.
\]

Here, the values \( \eta = 1.97 \) and \( \eta = 1.76 \) can be associated with the directions [010] and [001], respectively. The parameter \( \eta \) quantifies the contribution of the second term in parentheses is usually negligible, that is, not the case on \( \beta \)-DBDSCS. Indeed, Eq. (3) yields values of \( k_{\text{lat}} \) suggesting that the surface is almost three times softer along the [010] direction \( (k_{\text{lat}} = 2.13 \text{ N/m}) \) than along the [001] direction \( (k_{\text{lat}} = 5.74 \text{ N/m}) \). The results are summarized in Table I.

The pronounced anisotropy along [010] vs [001] might be surprising at a first glance since the lattice constants of the crystal in the limit of the resolution of the calculation, identical to the one along the vertical [010] direction.

![Image](15422-4)

**Table I.** Set of frictional and mechanical coefficients obtained from the sawtooth profiles along the directions [010] and [001] in Fig. 2.

<table>
<thead>
<tr>
<th>Direction</th>
<th>( \lambda ) (nm)</th>
<th>( F_{\text{max}} ) (nN)</th>
<th>( k_{\text{exp}} ) (N/m)</th>
<th>( \eta )</th>
<th>( U_0 ) (eV)</th>
<th>( k_{\text{lat}} ) (N/m)</th>
</tr>
</thead>
<tbody>
<tr>
<td>[010]</td>
<td>0.77</td>
<td>0.51</td>
<td>1.41</td>
<td>1.97</td>
<td>0.79</td>
<td>2.13</td>
</tr>
<tr>
<td>[001]</td>
<td>0.71</td>
<td>1.14</td>
<td>3.56</td>
<td>1.76</td>
<td>1.61</td>
<td>5.74</td>
</tr>
</tbody>
</table>

In the present case, the sample region laterally sheared by the tip. From \( k_{\text{exp}} \), a characteristic friction parameter \( \eta \) can be calculated according to the relation

\[
\eta = \frac{2\pi F_{\text{max}}}{k_{\text{exp}}\lambda} - 1.
\]

Here, the values \( \eta = 1.97 \) and \( \eta = 1.76 \) can be associated with the directions [010] and [001], respectively. The parameter \( \eta \) quantifies the ratio between the corrugation of the tip-sample interaction potential \( U_0 \) and the elastic energy stored in the deformed contact. The values of \( U_0 \) are easily calculated as

\[
U_0 = \frac{\lambda F_{\text{max}}}{\pi},
\]

resulting in \( 1.26 \times 10^{-19} \text{ J} = 0.79 \text{ eV} \) and \( 2.58 \times 10^{-19} \text{ J} = 1.61 \text{ eV} \) for the directions [010] and [001], respectively. Finally, once the value of \( \eta \) is known, the lateral contact stiffness can be determined by

\[
k_{\text{lat}} = \left( 1 + \frac{\lambda}{\eta} \right) k_{\text{exp}}
\]

For hard surfaces the contribution of the second term in parentheses is usually negligible, that is, not the case on \( \beta \)-DBDSCS. Indeed, Eq. (3) yields values of \( k_{\text{lat}} \) suggesting that the surface is almost three times softer along the [010] direction \( (k_{\text{lat}} = 2.13 \text{ N/m}) \) than along the [001] direction \( (k_{\text{lat}} = 5.74 \text{ N/m}) \). The results are summarized in Table I.

The pronounced anisotropy along [010] vs [001] might be surprising at a first glance since the lattice constants of the crystal in the limit of the resolution of the calculation, identical to the one along the vertical [010] direction.

Rather, the origin of the anisotropy should be sought in the arrangement of the molecular backbones, mainly directed by dipolar interactions of the functional cyano groups [53]. This brings the conjugated backbones of the molecules into a tilted and slipped \( \beta \)-stacked arrangement along \( c \). The plane formed by the backbone of the alkyl chains essentially coincides with the [010] plane [compare Figs. 1(a), 1(b), and 1(c)], and the alkyl chains enclose an angle of about 20° with the \( a \)
Ref. [54], we attribute to thermally activated onset of surface wear. In (b) note the sudden change in contrast, which, in line with above the transition region in (a) and inside the corresponding region corresponding to normal forces of (c) 2.5 and (d) 6.8 nN, i.e., slightly data sets (represented by different symbols). Friction-force images the points correspond to results from two series of independent load while scanning along the (a) [010] and (b) [001] directions. In our system, the chains are not parallel to the free anisotropy, where the high flexibility (and low stiffness) of the molecular chains along the [010] direction can be assumed to the original resolution was always recovered after reducing the loading force to the initial values, attesting that the tip apex was not modified by the repeated loading and unloading cycles.

The onset of surface damage is confirmed by Figs. 4(c) and 4(d). Here, two friction-force images were taken along the [010] direction with a normal force of around 2.5 nN and along the [001] direction with a normal force of around 6.8 nN. After a few scan lines, a curved offset [Figs. 4(c) and 4(d) and their corresponding profiles] suddenly added to the regular stick-slip profile. Interestingly, a similar effect was observed on alkali halide (KBr) surfaces in ultrahigh vacuum [54], related to the irreversible change of the surface. In Ref. [54] the K$^+$ and Br$^-$ ions removed by the tip were indeed found to grow homoepitaxially beside the worn off areas, suggesting an atom-by-atom attrition process (see also Ref. [55]). The chain structure of β-DDBCS makes the scenario much more complex in the present case. In this context, it is interesting to observe that, in their microtribological measurements, Jang et al. [10] found an almost instantaneous wear onset when two surfaces formed by PTFE chains were set into motion perpendicular to the chains direction, whereas the two surfaces could sustain 150 cycles of sliding without damage when the scan direction was rotated by 90° parallel to the chains. At the same time, the accompanying MD simulations suggested, after 40 nm of sliding, a consistent displacement of ~6 nm of the uppermost row of carbon atoms in the chains in the former case but not in the latter one.

In our system, the chains are not parallel to the free surface of the β-DDBCS crystal, and a direct comparison with Ref. [10] is not possible. Nevertheless, our lattice-resolved images provide additional information on the lateral stiffness anisotropy, where the high flexibility (and low stiffness) of the molecular chains along the [010] direction can be assumed to be related to the preferential deformation of the crystal surface along this direction.

IV. CONCLUSIONS

Lattice-resolved FFM in water was performed on surfaces of β-DDBCS crystals in order to understand the influence of the surface microstructure on the nanomechanical response of the crystal surface when sheared along different directions. A stick-slip behavior was observed, with a periodicity dictated by the crystal lattice parameters of β-DDBCS. In the experiment, along [010] and the normal force is observed at low loads, yielding a friction coefficient ($\mu = F_{dyn} / F_{load}$) of 0.12 ± 0.04 (calculated from the slope of the $F_{dyn}$ vs $F_{load}$ curve). After overcoming a normal force threshold of 1.25 nN, friction suddenly increases until about 1.6 nN. Figure 4(b) shows a qualitatively similar response when scanning along the [001] direction, although in this case the increment in friction occurs at a much higher normal force (about 6 nN). It is also less pronounced, whereas the value of the friction coefficient at low loads (below 2.5 nN) $\mu = 0.08 ± 0.04$ is comparable. When the normal force overcomes the threshold values of 1.25 and 6 nN in the [010] and [001] directions, respectively, the sudden increase in friction suggests that the β-DDBCS surface microstructure can no longer hold the shear stress applied by the tip, and the surface becomes irreversibly deformed. Note that the original resolution was always recovered after reducing the loading force to the initial values, attesting that the tip apex was not modified by the repeated loading and unloading cycles.

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In our system, the chains are not parallel to the free surface of the β-DDBCS crystal, and a direct comparison with Ref. [10] is not possible. Nevertheless, our lattice-resolved images provide additional information on the lateral stiffness anisotropy, where the high flexibility (and low stiffness) of the molecular chains along the [010] direction can be assumed to be related to the preferential deformation of the crystal surface along this direction.
the static friction force $F_{\text{max}}$ and the lateral contact stiffness $k_{\text{lat}}$ are lower (see Table 1) along the [010] direction than along [001], which corresponds to a lower shear resistance of the crystal surface along the former direction. The inspection of the crystal cell suggests that when sliding along [001], a closer packing of the alkyl chains and consequent “steric constriction” should be encountered. The anisotropic response is further confirmed experimentally by the evolution of dynamic friction forces vs normal forces in the two directions. In this case, clearly different normal-force thresholds for surface damage appear (1.25 and 6 nN, respectively), which are also consistent with the arrangement and bendability of the alkyl chains forming the crystal surface. Among the possible anisotropy mechanisms mentioned in the Introduction, the closest to our results is the shear-induced structural orientation discussed in Ref. [10]. Note that in our measurements, lattice resolution could be achieved only if the samples were immersed in water, suggesting that this environment is pivotal for making the surface more compact, possibly by the formation of hydration layers. The contrast may also be enhanced by the presence of chains adsorbed at the tip apex, as suggested by the results in Ref. [17] as well as in recent work by Pawlak et al. [56]. Considering the variety of liquid environments and of physical-chemical conditions which could be addressed in this way (see, e.g., the dynamics of surface reconstructions of metals in electrochemical cells [57,58]), our results are potentially far reaching and could impact the understanding of friction anisotropy and its relation to the surface microstructure. This could be interesting for the design and fabrication of new and more efficient organic semiconductor devices, such as solar cells, sensors, optically pumped lasers, and stimuli-responsive materials.

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