The Harvard Open Access Initiatives

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First, the [FAS research] policy should encourage the notion that ideas or creative works produced at the University should be used for the greatest possible public benefit. This would normally mean the **widest possible dissemination** and use of such ideas or materials.

Second, the policy should protect the traditional rights of scholars with respect to the products of their intellectual endeavors. For example, the policy should not interfere with the **right of a scholar to decide to publish a book or an article and, if so, when and under what circumstances**.
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Tuesday, December 9, 2008
I. Permission

The Faculty of Arts and Sciences of Harvard University is committed to disseminating the fruits of its research and scholarship as widely as possible. In keeping with that commitment, the Faculty adopts the following policy: Each Faculty member grants to the President and Fellows of Harvard College permission to make available his or her scholarly articles and to exercise the copyright in those articles. In legal terms, the permission granted by each Faculty member is a nonexclusive, irrevocable, paid-up, worldwide license to exercise any and all rights under copyright relating to each of his or her scholarly articles, in any medium, and to authorize others to do the same, provided that the articles are not sold for a profit. The policy will apply to all scholarly articles written while the person is a member of the Faculty except for any articles completed before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of
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The Dean or the Dean's designate will waive application of the policy for a particular article upon written request by a Faculty member explaining the need.

To assist the University in distributing the articles, each Faculty member will provide an electronic copy of the final version of the article at no charge to the appropriate representative of the Provost's Office in an appropriate format (such as PDF) specified by the Provost's Office.

The Provost's Office may make the article available to the public in an open-access repository. The Office of the Dean will be responsible for interpreting this policy, resolving disputes concerning its interpretation.
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3. Deposit
Addendum

Article information:

- Manuscript title *
- Journal *
- Author *
- Publisher *

Addendum specification:

Optionally include:

- (i) Retain derivative works rights
- (ii) Require publisher's PDF

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This confirms the license I have granted to Harvard with respect to my scholarly articles, as set forth in the policy adopted by the Faculty of Arts and Sciences on February 12, 2008. I intend that this confirmation will constitute written evidence of that license and that I am signing this confirmation.
ADDENDUM TO PUBLICATION AGREEMENT

1. This Addendum modifies and supplements the attached publication agreement (the "Publication Agreement") concerning the article titled "A Simple Language for Novel Visualizations of Information" (including any supplementary materials, the "Article") in Communications in Computer and Information Science.

2. The parties to the Publication Agreement as modified and supplemented by this Addendum are: Wendy Lucas (corresponding author) and Stuart M. Shieber (individually or, if more than one author, collectively, "Author") and Springer-Verlag GmbH ("Publisher").

3. The parties agree that wherever there is any conflict between this Addendum and the Publication Agreement, the provisions of this Addendum will control and the Publication Agreement will be construed accordingly.

4. Notwithstanding any terms in the Publication Agreement to the contrary, Author and Publisher agree as follows:

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   AUTHOR

   (corresponding author on behalf of all authors)

   DATE

   PUBLISHER

   DATE
Harvard University Scholarly Repository - Beta

This is the beta version of the DASH open access repository for scholarly materials.

Search DASH

Enter some text in the box below to search DASH.

Browse DASH

Select a community to browse its collections.

- Faculty of Arts and Sciences
- Harvard Law School

Submit to DASH

Members of the Harvard communities listed above can submit articles to DASH after logging in.
To obtain a DASH login account, please register.

For more information

Find out more about DASH.
Advantages of Policy

1. Makes a collective statement of principle
2. Systematically provides article metadata
3. Completely clarifies rights situation
4. Allows university to facilitate article deposit process
5. Allows university to negotiate collectively
6. Opt-out versus opt-in may increase rights retention
10/ Provost's committee organized
2/7 FAS Library Committee
2/15 Report submitted to Provost
“a bold move to boost the unrestricted, global use of research articles”

(Boston Globe, February 12, 2008)
“Ma la decisione di Harvard accelererà inevitabilmente il processo, già in corso, della riorganizzazione dei mezzi di diffusione delle idee.”

(Corriere Della Sera, June 10, 2008)
“This is a vendor-customer dispute over price.... It doesn’t surprise us that all libraries feel their budgets are far less than desirable, but that’s a reality the educational community faces.”

(Allan Adler, AAP, February 13, 2008)
“Harvard’s self-serving move weakens standards of peer review processes and presumes that any garbage Harvard profs write would have been worthy of publication.”

(J.L., Inside Higher Ed comment, February 13, 2008)
Dystopia

1. All universities follow suit
2. All articles available open access
3. Libraries feel freer to cancel subscriptions
4. Price pressure on journal subscription fees
5. Journals cannot recoup costs
6. Publishers cannot change business model of journals
7. Journals (scholarly societies, peer review, ...) disappear
The Harvard Compact

“The university commits to underwrite reasonable article processing fees for open-access journals for which funds are not otherwise available.”
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Details

• Eligible articles
• Fee structure
• Eligible venues
• Alternate funding
• Author cap
• Article cap
• Fund cap
• Regular review
• Compensatory savings
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