

Density-matrix Chern insulators: Finite-temperature generalization of topological insulators

A. Rivas, O. Viyuela, and M. A. Martin-Delgado

Departamento de Física Teórica I, Universidad Complutense, 28040 Madrid, Spain

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Thermal noise can destroy topological insulators (TI). However, we demonstrate how TIs can be made stable in dissipative systems. To that aim, we introduce the notion of band Liouvillian as the dissipative counterpart of band Hamiltonian, and show a method to evaluate the topological order of its steady state. This is based on a generalization of the Chern number valid for general mixed states (referred to as density-matrix Chern value), which witnesses topological order in a system coupled to external noise. Additionally, we study its relation with the electrical conductivity at finite temperature, which is not a topological property. Nonetheless, the density-matrix Chern value represents the part of the conductivity which is topological due to the presence of quantum mixed edge states at finite temperature. To make our formalism concrete, we apply these concepts to the two-dimensional Haldane model in the presence of thermal dissipation, but our results hold for arbitrary dimensions and density matrices.

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I. INTRODUCTION

Topological insulators have emerged as a new kind of quantum phase of matter,¹⁻⁴ which was predicted theoretically to exist and has been discovered experimentally.⁵⁻⁷ However, the behavior of topological insulators (TIs) subjected to dissipative dynamics has been barely explored. This is inescapable to address questions such as their robustness to thermal noise, which is crucial in assessing the feasibility of these proposals in quantum computation, spintronics, etc.

In a recent work,⁸ we have shown that certain one-dimensional topological insulators (TI) lose the topological protection of their edge states when they are coupled to bosonic thermal baths. This is so even when the bath interaction preserves the symmetry that protects the existence of edge states. As a consequence, these edge states decay in time into bulk states of a normal insulator. Thus, a very fundamental question arises: Is it possible to have stable topological insulating states in the presence of a thermal bath? The purpose of this work is to explore this possibility by extending the concept of TI to dissipative systems. Since for dissipative systems quantum states are generally mixed and characterized by a density-matrix operator ρ , we shall refer to these as *density-matrix TIs*.

For usual TIs, the Thouless-Kohmoto-Nightingale-den Nijs (TKNN) invariant⁹ provides a characterization of fermionic time-reversal-broken (TRB) topological order in two spatial dimensions. This is done in such a way that the transverse conductivity is written in terms of a topological invariant, the Chern number, which may be related to an adiabatic change of the Hamiltonian in momentum space.¹⁰ However, the extension of this invariant to density matrices is not straightforward.¹¹ Actually, the problem of generalizing geometric concepts as distances or geometric phases to generally mixed states is highly nontrivial.¹²⁻¹⁶ We address this problem and construct an observable that detects the symmetry-protected topological order of a TI even if it is not in a pure but in a general quantum mixed state. Moreover, when this general quantum state is of the form of a Gibbs state, we study the relation between this topological observable and the conductivity, and show that it reduces to the usual notion of TI in the limit of low temperature. However, we stress that the

notion of a density-matrix TI is far more general, as we shall see.

The paper is organized as follows. In Sec. II, we introduce the concept of band Liouvillian, which is an appropriate structure for dissipative dynamics in order to preserve topological order. Section III is devoted to construct a topological indicator for density matrices, which plays the same role as the TKNN invariant for pure states. In Sec. IV, we analyze an example of band Liouvillian dynamics for the Haldane model of two-dimensional (2D) TI and, subsequently, in Sec. V, we study its topological properties by using the indicator introduced in Sec. III. Section VI focuses on the behavior of this model under open boundary conditions; this leads to the appearance of mixed edge states in analogy to usual (pure) edge states of a TI in the absence of dissipation. Finally, in Sec. VII, we explain the relation between dissipative topological order and quantum Hall conductivity. Section VIII is devoted to conclusions. In addition, technical details concerning the diagonalization of the Haldane model, derivation of master equations, and its stationary properties are left to Appendixes B, C and D, respectively.

II. BAND LIOUVILLIAN DYNAMICS

The physical problem is defined as follows. Let H_s be the system Hamiltonian representing a certain TI. This could be constructed in an arbitrary spatial dimension, but we shall restrict in what follows to the class of TRB insulators in two spatial dimensions. Furthermore, the TI will be subjected to the action of dissipative effects due to a thermal bath represented by a Hamiltonian H_b . This bath could be general enough so as to comprise fermionic or bosonic degrees of freedom and we assume it is initially in a thermal or Gibbs state at a certain temperature T . The system-bath interaction is described by the Hamiltonian H_{s-b} .

We consider that the state ρ_s of the TI undergoes a time evolution satisfying some Lindblad dynamical equation¹⁷⁻²⁰ (unless otherwise stated, natural units $\hbar = k_B = 1$ are taken throughout the paper)

$$\frac{d\rho_s}{dt} = \mathcal{L}(\rho_s) = -i[H_s, \rho_s] + \mathcal{D}(\rho_s), \quad (1)$$

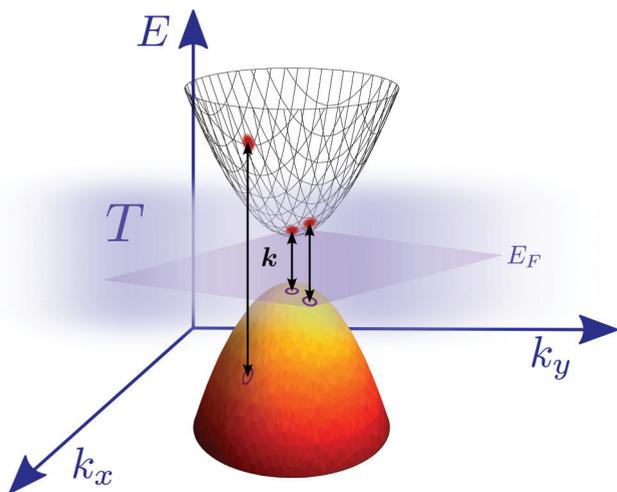


FIG. 1. (Color online) Pictorial image of the action of a band Liouvillian $\mathcal{L} = \sum_k \mathcal{L}_k$. The vertical lines denote the only possible processes involving the (initially empty) conduction band and (initially filled) valence bands, i.e., those where the momentum \mathbf{k} is preserved. The violet fog represents some bath at a certain temperature T which mediates such a process [see Eq. (22)] and the plane indicates the Fermi energy E_F .

where \mathcal{L} is the so-called *Liouvillian* operator, which is composed by a first term representing the Hamiltonian evolution in the absence of system-bath interaction and a second term, the dissipator \mathcal{D} , accounting for the effect of the bath dissipation. Concretely, we shall assume that \mathcal{L} is of the Davies type, obtained under the assumption of weak system-bath coupling.²¹

We are interested in searching for sufficient conditions that the Liouvillian dynamics (1) must satisfy in order to preserve the TI phase. In the absence of dissipation, we know that a key ingredient is that the TI Hamiltonian H_s is a band Hamiltonian that satisfies the Bloch theorem and can be decomposed as $H_s = \sum_{\mathbf{k} \in \text{B.Z.}} H_s(\mathbf{k})$ where \mathbf{k} denotes a crystalline momentum. Thus, it is natural to restrict our attention to Liouvillian evolutions satisfying a similar condition $\mathcal{L} = \sum_{\mathbf{k} \in \text{B.Z.}} \mathcal{L}_k$, where each \mathcal{L}_k only involves fermionic operators with crystalline momentum \mathbf{k} ; we shall refer to these as *band Liouvillians*. Basically, a Liouvillian of this kind describes processes in such a way that the momenta of the fermions are not changed (up to a vector \mathbf{G} of the reciprocal lattice) (a pictorial image is sketched in Fig. 1). As a consequence, they present invariance under space translations and every \mathcal{L}_k satisfies

$$T(\mathbf{a})\mathcal{L}_k(\rho)T^\dagger(\mathbf{a}) = \mathcal{L}_k[T(\mathbf{a})\rho T^\dagger(\mathbf{a})], \quad (2)$$

where $T(\mathbf{a}) = e^{-i\mathbf{a}\cdot\hat{\mathbf{k}}}$ is the operator that translates a point with coordinate \mathbf{r} to the point $\mathbf{r} + \mathbf{a}$ on the lattice.

An analogy to the Bloch theorem for this kind of Liouvillians characterizes its steady states.

Theorem 1. Consider a band Liouvillian $\mathcal{L} = \sum_{\mathbf{k} \in \text{B.Z.}} \mathcal{L}_k$. If each \mathcal{L}_k has a unique stationary state, it has the form

$$\rho_{\text{ss}} = \lambda_0 |0\rangle\langle 0| + \sum_{\mathbf{k}, \alpha, \beta} \lambda_{\alpha\beta}^{\mathbf{k}} |1_{\alpha, \mathbf{k}}\rangle\langle 1_{\beta, \mathbf{k}}|. \quad (3)$$

Here, α and β denote additional quantum numbers (band indexes, spin indexes, lattice indexes, etc.), and $|1_{\alpha, \mathbf{k}}\rangle \equiv |u_{\alpha, \mathbf{k}}\rangle$

denotes a particle in the Bloch state with momentum \mathbf{k} and additional quantum number α , $T(\mathbf{r})|u_{\alpha, \mathbf{k}}\rangle = e^{-i\mathbf{r}\cdot\mathbf{k}}|u_{\alpha, \mathbf{k}}\rangle$.

Proof. Consider ρ_{ss} to be the steady state of some \mathcal{L}_k :

$$\mathcal{L}_k(\rho_{\text{ss}}) = 0. \quad (4)$$

By applying the translation operator on both sides and using (2), we obtain

$$\mathcal{L}_k[T(\mathbf{a})\rho_{\text{ss}}T^\dagger(\mathbf{a})] = 0. \quad (5)$$

Thus, $\rho'_{\text{ss}} := T(\mathbf{a})\rho_{\text{ss}}T^\dagger(\mathbf{a})$ is also a steady state of the system. Since by assumption ρ_{ss} is unique, $\rho'_{\text{ss}} = \rho_{\text{ss}}$, so that $[T(\mathbf{a}), \rho_{\text{ss}}] = 0$ and $T(\mathbf{a})$ and ρ_{ss} share the same set of eigenvectors. ■

It is worth noticing that as a difference with the case of pure states, the translational symmetry of a Liouvillian does not necessarily imply steady states with well-defined crystalline momentum \mathbf{k} . They can be a convex mixture of states with different well-defined momenta (3). However, since the subspace with well-defined momentum \mathbf{k} is invariant under the action of \mathcal{L}_k , if the initial state has a well-defined momentum \mathbf{k} (for instance, a particle with well-defined momentum in one of the bands of the Hamiltonian), then the steady state under \mathcal{L}_k will have well-defined momentum as well:

$$\rho_{\text{ss}}^{\mathbf{k}} = \lambda_0 |0\rangle\langle 0| + \sum_{\alpha, \beta} \lambda_{\alpha\beta}^{\mathbf{k}} |1_{\alpha, \mathbf{k}}\rangle\langle 1_{\beta, \mathbf{k}}|. \quad (6)$$

Thus, the steady state ρ_{ss} of the total Liouvillian $\mathcal{L} = \sum_{\mathbf{k} \in \text{B.Z.}} \mathcal{L}_k$ will be of the form

$$\rho_{\text{ss}} = \bigotimes_{\mathbf{k}} \rho_{\text{ss}}^{\mathbf{k}}, \quad \rho_{\text{ss}}^{\mathbf{k}} := \lambda_0 |0\rangle\langle 0| + \sum_{\alpha, \beta} \lambda_{\alpha\beta}^{\mathbf{k}} |1_{\alpha, \mathbf{k}}\rangle\langle 1_{\beta, \mathbf{k}}|. \quad (7)$$

The coefficients λ_0 and $\lambda_{\alpha\beta}^{\mathbf{k}}$ depend on the particular steady state as a result of the dissipative dynamics (1). For instance, if the steady state turns out to be a thermal state density matrix, then they will be given by Gibbs weights (25).

III. CHERN CONNECTIONS FOR DENSITY MATRICES

In order to construct a topological indicator for the generally mixed state ρ_{ss} , we can not use the usual Berry connection as in the formulation of the Chern number because it is defined just for pure states. Regarding density matrices, there is not a unique natural extension of the Berry connection and the Berry phase.^{12–16} However, the band Liouvillian structure allows for the construction of a Berry-type connection A_i^ρ for the density-matrix steady states, in such a way that the integral of its curvature form F_{ij}^ρ gives a topological indicator which we refer to as *density-matrix Chern value*. To construct such an indicator, we use purification, which is a method that allows us to extend quantities defined for pure states to general mixed states.

Generally speaking, for a density matrix ρ acting in a Hilbert space \mathcal{H} , a purification $|\Phi^\rho\rangle$ is a pure state in an extended Hilbert space $|\Phi^\rho\rangle \in \mathcal{H}_A \otimes \mathcal{H}$ such that

$$\rho = \text{Tr}_A(|\Phi^\rho\rangle\langle \Phi^\rho|). \quad (8)$$

In other words, mixed states can always be seen as pure states of a larger system such that we only have access to partial information of it.

Given some ρ there are infinitely many states $|\Phi^\rho\rangle$ which fulfill (8). Without loss of mathematical generality, we take the ancillary space \mathcal{H}_A to have the same dimension d as \mathcal{H} for the system,²² then any purification $|\Phi^\rho\rangle$ can be written as

$$|\Phi^\rho\rangle = (U_A \otimes \tilde{\rho})|\Omega\rangle, \quad (9)$$

where U_A is a unitary operator, $\tilde{\rho}\tilde{\rho}^\dagger = \rho$, and

$$|\Omega\rangle := \sum_{\alpha=1}^d |v_\alpha\rangle \otimes |v_\alpha\rangle \quad (10)$$

is a (unnormalized) maximally entangled state, with $\{|v_j\rangle\}$ an orthonormal basis of \mathcal{H} . From the Schmidt decomposition of $|\Phi^\rho\rangle$ it follows that (9) is the most general form for a purification of ρ .²³

By using the spectral decomposition of $\rho = \sum_\alpha p_\alpha |\psi_\alpha\rangle\langle\psi_\alpha|$, we may write $\tilde{\rho}$ as

$$\tilde{\rho} = \sum_\alpha \sqrt{p_\alpha} |\psi_\alpha\rangle\langle\varphi_\alpha|, \quad (11)$$

where $\{|\varphi_\alpha\rangle\}$ is also an orthonormal basis which is considered to be arbitrary. Therefore, given some ρ there is a freedom for the choice of U_A and the basis $\{|\varphi_\alpha\rangle\}$ for its purification $|\Phi^\rho\rangle$.

The next theorem is particularly important in the construction of a Berry-type connection for density matrices.

Theorem 2. Consider the steady state of a band Liouvillian (7): we define a Berry-type connection for ρ_{ss}^k through one of its purifications $|\Phi_k^\rho\rangle$ as

$$A_i^k(\mathbf{k}) := i\langle\Phi_k^\rho|\partial_i\Phi_k^\rho\rangle \quad \text{for} \quad \rho_{ss}^k = \text{Tr}_A(|\Phi_k^\rho\rangle\langle\Phi_k^\rho|). \quad (12)$$

Here, the notation is $\partial_i := \partial_{k_i}$. Under the assumption that U_A and $\{|\varphi_i\rangle\}$ are independent of momentum \mathbf{k} of the steady state, the connection (12) is unique and does not depend on the purification. Explicitly, it takes the following form in terms of the spectral decomposition of $\rho_{ss}^k = \sum_\alpha p_\alpha^k |\psi_{\alpha,k}\rangle\langle\psi_{\alpha,k}|$:

$$A_i^k(\mathbf{k}) = i \sum_\alpha p_\alpha^k \langle\psi_{\alpha,k}|\partial_i\psi_{\alpha,k}\rangle. \quad (13)$$

Proof. Indeed, the general form (9) for a purification of ρ_{ss}^k reads as

$$|\Phi_k^\rho\rangle = \sum_\alpha \sqrt{p_\alpha^k} (U_A \otimes |\psi_{\alpha,k}\rangle\langle\varphi_\alpha|) |\Omega\rangle, \quad (14)$$

where we have used (11). Taking the derivative $\partial_i := \partial_{k_i}$ in (14) and computing the overlap

$$\begin{aligned} & \langle\Phi_k^\rho|\partial_i\Phi_k^\rho\rangle \\ &= \sum_{\alpha,\beta} \sqrt{p_\beta^k} \langle\Omega|[(\partial_i\sqrt{p_\alpha^k})(\mathbb{1} \otimes |\varphi_\beta\rangle\langle\psi_{\beta,k}|\psi_{\alpha,k}\rangle\langle\varphi_\alpha|) \\ & \quad + \sqrt{p_\alpha^k}(\mathbb{1} \otimes |\varphi_\beta\rangle\langle\psi_{\beta,k}|\partial_i\psi_{\alpha,k}\rangle\langle\varphi_\alpha|)]|\Omega\rangle. \end{aligned} \quad (15)$$

Since $\langle\Omega|(\mathbb{1} \otimes A)|\Omega\rangle = \text{Tr}(A)$, we obtain

$$A_i^k(\mathbf{k}) = i \sum_\alpha \sqrt{p_\alpha^k} (\partial_i\sqrt{p_\alpha^k}) + p_\alpha^k \langle\psi_{\alpha,k}|\partial_i\psi_{\alpha,k}\rangle, \quad (16)$$

which is independent of U_A and $\{|\varphi_i\rangle\}$. Moreover, note that in the pure state case $\rho_{ss}^k = |\psi_k\rangle\langle\psi_k|$ we recover the Berry connection $A_i^k(\mathbf{k}) = A_i(\mathbf{k}) = i\langle\psi_k|\partial_i\psi_k\rangle$.²⁴ In addition, the first term on the right-hand side of (16) vanishes by taking into

account that $\sum_\alpha p_\alpha^k = 1$. Hence, (16) can be simply written as (13). ■

Once this *purified connection* (13) is defined, we may obtain the (Abelian) curvature form through

$$F_{ij}^\rho(\mathbf{k}) := \partial_i A_j^\rho(\mathbf{k}) - \partial_j A_i^\rho(\mathbf{k}), \quad (17)$$

and construct a density-matrix topological indicator n_{Ch}^ρ associated with the steady state ρ_{ss}^k via the first Chern class²⁵ of this connection:

$$n_{\text{Ch}}^\rho := \frac{1}{4\pi} \text{Tr} \left[\int_{\text{T}^2} F_{ij}^\rho(\mathbf{k}) dk_i \wedge dk_j \right]. \quad (18)$$

It is convenient to compute the different contributions that appear in the explicit expression of (17) using (13):

$$F_{ij}^\rho(\mathbf{k}) = \sum_\alpha [p_\alpha^k F_{ij}^\alpha(\mathbf{k}) + (\partial_i p_\alpha^k) A_j^\alpha(\mathbf{k}) - (\partial_j p_\alpha^k) A_i^\alpha(\mathbf{k})]. \quad (19)$$

Note that from this equation is not manifestly clear the U(1) gauge invariance of the curvature, but this can be proven by performing a gauge transformation and making use of the property $\sum_\alpha p_\alpha^k = 1$. In addition, if N is the dimension of the steady state ρ_{ss}^k , the curvature is not U(1)^N gauge invariant, however, that is not the case with the Chern value (18), which is fully invariant. A proof of this fact is given in Appendix A.

Thus, one of the main results of this work is the construction of this object n_{Ch}^ρ , which characterizes the topological structure of insulators in the presence of dissipation. Furthermore, by taking into account Eq. (19), n_{Ch}^ρ can be written as

$$\begin{aligned} n_{\text{Ch}}^\rho &= \frac{1}{2\pi} \int_{\text{T}^2} F_{12}^\rho(\mathbf{k}) d^2\mathbf{k} \\ &= \frac{1}{2\pi} \sum_\alpha \int_{\text{T}^2} p_\alpha^k F_{12}^\alpha(\mathbf{k}) d^2\mathbf{k} \\ & \quad + \frac{1}{2\pi} \sum_\alpha \int_{\text{T}^2} [(\partial_1 p_\alpha^k) A_2^\alpha(\mathbf{k}) - (\partial_2 p_\alpha^k) A_1^\alpha(\mathbf{k})] d^2\mathbf{k}. \end{aligned} \quad (20)$$

A nonvanishing n_{Ch}^ρ witnesses a topological nontrivial order present in ρ_{ss}^k . Since for the pure case the connection (13) becomes the usual Berry connection, if the steady state is a pure Bloch state $\rho_{ss}^k = |u_{\alpha,k}\rangle\langle u_{\alpha,k}|$, we recover the standard TKNN topological invariant (Chern number).

The density-matrix Chern value n_{Ch}^ρ [Eq. (20)] has two different terms. The first one is a weighted integration of curvatures for different bands. This term has no topological meaning on its own and it does not distinguish between phases with or without topological order. The second term represents a correction to the value given by the first one that provides the topological character to n_{Ch}^ρ . In addition, both terms have a physical meaning which will be explained in Sec. VII.

The name *Chern value* responds to the fact that despite its topological origin, it may not be an integer for a general mixed state. The reason is very fundamental: the space of density matrices ρ is a convex space, which means that a convex combination of density matrices ρ_1 and ρ_2 , $\rho = p_1\rho_1 + p_2\rho_2$ is also a mixed state. Due to the Abelian character of the curvature form F_{ij}^ρ [Eq. (17)], $n_{\text{Ch}}^\rho = p_1 n_{\text{Ch}}^{\rho_1} + p_2 n_{\text{Ch}}^{\rho_2}$. Therefore, since the weights $p_1, p_2 \in \mathbb{R}$ with $p_1 + p_2 = 1$,

then $n_{\text{Ch}}^\rho \in \mathbb{R}$ as well. Nevertheless, this will not be an obstacle to use the Chern value to detect topological properties of insulator states. Note that there are other quantities in the literature which also reflect topological properties but are not integer numbers, for example the Aharonov-Bohm phase (see also Ref. 26). In forthcoming sections, we will apply this formalism to the case of the Haldane model in 2D which is a prototype of TRB topological insulator.²⁷

IV. BAND LIOUVILLIAN FOR THE HALDANE MODEL

We can apply our previous formalism to the Haldane model of 2D TI. This is a graphenelike model based on a honeycomb lattice with nearest-neighbor and next-nearest-neighbor couplings. For periodic boundary conditions, the Haldane Hamiltonian in the reciprocal space is given by

$$H_s = \sum_{\mathbf{k} \in \text{B.Z.}} (a_{\mathbf{k}}^\dagger, b_{\mathbf{k}}^\dagger) H(\mathbf{k}) \begin{pmatrix} a_{\mathbf{k}} \\ b_{\mathbf{k}} \end{pmatrix} = \sum_{\mathbf{k} \in \text{B.Z.}} E_1^k c_{\mathbf{k}}^\dagger c_{\mathbf{k}} + E_2^k d_{\mathbf{k}}^\dagger d_{\mathbf{k}}. \quad (21)$$

Here, $a_{\mathbf{k}}$ and $b_{\mathbf{k}}$ correspond to the two species of fermions associated with the triangular sublattices of a honeycomb lattice,

and $c_{\mathbf{k}}$ and $d_{\mathbf{k}}$ are the fermionic modes which diagonalize the Hamiltonian with eigenvalues E_1^k and E_2^k , respectively. For more details, we refer to Appendix B.

We shall assume a local fermionic bath model, with quadratic coupling of the form

$$H_{s-b} := \sum_{i,r} g^i (a_r^\dagger \otimes A_r^i + a_r \otimes A_r^{i\dagger} + b_r^\dagger \otimes B_r^i + b_r \otimes B_r^{i\dagger}), \quad (22)$$

where \mathbf{r} denotes the point in the sublattices and A_r^i and B_r^i denote the bath fermion operators coupled with the two species a_r and b_r , respectively. This model could effectively describe situations such as (i) noncontrollable tunneling of electrons between the TI and some surrounding material, (ii) particle losses in simulated topological phases with cold fermionic atoms in optical lattices, or electron transitions to high-energy levels not well described under the tight-binding approximation.

The detailed derivation of the Liouvillian equation (master equation) in the weak coupling limit for this systems is explained in Appendix C; the final result turns out to be

$$\begin{aligned} \frac{d\rho_s(t)}{dt} &= \sum_{\mathbf{k}} \mathcal{L}_{\mathbf{k}}[\rho_s(t)] \\ &= \sum_{\mathbf{k}} \left(-i[H_{\mathbf{k}}, \rho_s(t)] + \gamma(E_1^k) \bar{n}_F(E_1^k) \left(c_{\mathbf{k}}^\dagger \rho_s(t) c_{\mathbf{k}} - \frac{1}{2} \{c_{\mathbf{k}} c_{\mathbf{k}}^\dagger, \rho_s(t)\} \right) + \gamma(E_1^k) [1 - \bar{n}_F(E_1^k)] \left(c_{\mathbf{k}} \rho_s(t) c_{\mathbf{k}}^\dagger - \frac{1}{2} \{c_{\mathbf{k}}^\dagger c_{\mathbf{k}}, \rho_s(t)\} \right) \right. \\ &\quad \left. + \gamma(E_2^k) \bar{n}_F(E_2^k) \left(d_{\mathbf{k}}^\dagger \rho_s(t) d_{\mathbf{k}} - \frac{1}{2} \{d_{\mathbf{k}} d_{\mathbf{k}}^\dagger, \rho_s(t)\} \right) + \gamma(E_2^k) [1 - \bar{n}_F(E_2^k)] \left(d_{\mathbf{k}} \rho_s(t) d_{\mathbf{k}}^\dagger - \frac{1}{2} \{d_{\mathbf{k}}^\dagger d_{\mathbf{k}}, \rho_s(t)\} \right) \right). \quad (23) \end{aligned}$$

Here,

$$\bar{n}_F(E) := \frac{1}{e^{\beta E} + 1}, \quad \gamma(\omega) := 2\pi J(\omega), \quad (24)$$

where $J(\omega)$ is the bath spectral density.

It is important to emphasize that this Liouvillian (23) fulfills the conditions of a band Liouvillian $\mathcal{L} = \sum_{\mathbf{k} \in \text{B.Z.}} \mathcal{L}_{\mathbf{k}}$. Moreover, it is quadratic in fermionic operators and its unique steady state is the Gibbs state ($\beta = 1/T$)

$$\rho_\beta = \frac{e^{-\beta H_s}}{\mathcal{Z}} = \bigotimes_{\mathbf{k}} \rho_{\text{ss}}^{\mathbf{k}} = \bigotimes_{\mathbf{k}} \left(\frac{e^{-\beta E_1^k c_{\mathbf{k}}^\dagger c_{\mathbf{k}}}}{1 + e^{-\beta E_1^k}} \right) \left(\frac{e^{-\beta E_2^k d_{\mathbf{k}}^\dagger d_{\mathbf{k}}}}{1 + e^{-\beta E_2^k}} \right), \quad (25)$$

that has the form of (7) corresponding to a band Liouvillian. Note that in the limit $T \rightarrow 0$, ρ_β approaches the Fermi sea where the lower band ($c_{\mathbf{k}}$) is fully occupied and the upper band ($d_{\mathbf{k}}$) is completely empty (see Appendix D for more details).

V. CHERN VALUE OF THE STEADY STATE

We have obtained that the steady state of the Liouvillian (23) is a product of states $\rho_{\text{ss}}^{\mathbf{k}}$ with well-defined momentum. Thus, the (parallel) transport along \mathbf{k} -space of each of these

states is well defined and, hence, the state characterization by a density-matrix Chern value (20) is possible.

For the sake of computation, note that $\rho_{\text{ss}}^{\mathbf{k}}$ is diagonal in the occupation basis $\rho_{\text{ss}}^{\mathbf{k}} = \sum_{n,m \in \{0,1\}} P_{nm}^{\mathbf{k}} |m, n\rangle_{\mathbf{k}} \langle m, n|$, where

$$\begin{aligned} |00\rangle_{\mathbf{k}} &= |0\rangle|0\rangle, & |10\rangle_{\mathbf{k}} &= |u_{c,\mathbf{k}}\rangle|0\rangle, \\ |01\rangle_{\mathbf{k}} &= |0\rangle|u_{d,\mathbf{k}}\rangle, & |11\rangle_{\mathbf{k}} &= |u_{c,\mathbf{k}}\rangle|u_{d,\mathbf{k}}\rangle. \end{aligned}$$

The vacuum $|0\rangle$ has no particles and does not depend on \mathbf{k} . If we define the geometric connections for the lower (c) and upper (d) bands

$$A_i^\alpha(\mathbf{k}) := i \langle u_{\alpha,\mathbf{k}} | \partial_i u_{\alpha,\mathbf{k}} \rangle, \quad \alpha = c, d \quad (26)$$

then it is possible to express the connection $A_i^o(\mathbf{k})$ in terms of the previous ones (see Appendix D):

$$A_i^o(\mathbf{k}) = \bar{n}_F(E_1^k) A_i^c(\mathbf{k}) + \bar{n}_F(E_2^k) A_i^d(\mathbf{k}). \quad (27)$$

Note that in the $T \rightarrow 0$ limit, we recover the standard Berry connection $A_i^o(\mathbf{k}) \rightarrow A_i^c(\mathbf{k})$, as the steady state (25) approaches the Fermi sea with the fully occupied lower band.

The Chern value can be now computed by integrating the curvature form of $A_i^o(\mathbf{k})$ [or by using the simplified expression (20)]. The color map in Fig. 2 represents the Chern value for different values of M and ϕ and different bath temperatures.

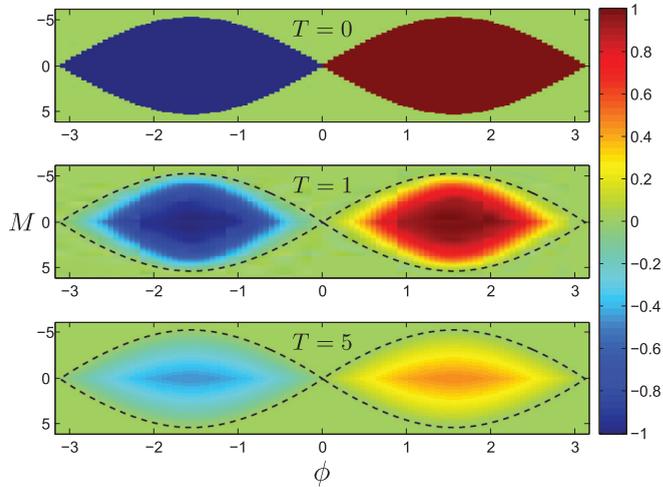


FIG. 2. (Color online) Color map depicting the Chern value in the Haldane model with dissipation, for different values of ϕ , M , and bath temperature T (in units of $t_2 = 1$). As T increases, the Chern value decreases (in absolute value), and for $T = 0$ we recover the phase diagram obtained by Haldane (Ref. 27). The dashed black lines enclose the region displaying topological order at $T = 0$, so that all nonvanishing Chern values are inside of this region for any T . Approximately $T = 1$ and 5 correspond to less than 10% and 50% of the gap, respectively.

Note its nice properties: it is zero for any choice of M and ϕ for all temperatures if it is zero at $T = 0$. This manifests that the topological order can not be created by increasing temperature. Moreover, as T increases, the absolute value of the Chern value decreases, and in the limit of $T \rightarrow \infty$ we obtain $n_{\text{Ch}}^{\rho} \rightarrow 0$ for all M and ϕ . This is in agreement with the common intuition that at infinite temperature any kind of order should be spoiled.

VI. MIXED EDGE STATES AND MASTER EQUATION

A physical signature of a TI phase is the existence of gapless (metallic) edge states. Thus, once we have mathematically characterized the phase diagram of the Haldane model under dissipation by means of the density-matrix Chern value, we wonder about the fate of the chiral edge states of the Haldane model at finite temperature.

To that aim, we consider the Haldane model placed on a cylindrical geometry, where we take periodic boundary conditions just along one spatial dimension, say \mathbf{a}_2 . In such a case, the momentum k_2 along the \mathbf{a}_2 direction is a good quantum number and the Haldane Hamiltonian can be diagonalized obtaining (see Appendix B for more details)

$$H_s = \sum_{k_2 \in \text{B.Z.}} H(k_2) = \sum_{m \in \text{B.Z.}} E_m^{k_2} f_{m,k_2}^{\dagger} f_{m,k_2}. \quad (28)$$

Here, the diagonal modes f_{m,k_2} mix both species of fermions a_{m,k_2} and b_{m,k_2} .

By imposing this geometry also in the interaction Hamiltonian (22), we derive the following dynamical equation

for the system (see Appendix C):

$$\begin{aligned} \frac{d\rho_s(t)}{dt} &= \sum_{k_2 \in \text{B.Z.}} \mathcal{L}_{k_2}[\rho_s(t)] \\ &= \sum_{k_2 \in \text{B.Z.}} \left(-i[H(k_2), \rho_s(t)] \right. \\ &\quad + \sum_m \left(\gamma(E_m^{k_2}) \bar{n}_F(E_m^{k_2}) \mathcal{D}_{f_{(m,k_2)}^{\dagger}}[\rho_s(t)] \right. \\ &\quad \left. \left. + \gamma(E_m^{k_2}) [1 - \bar{n}_F(E_m^{k_2})] \mathcal{D}_{f_{(m,k_2)}}[\rho_s(t)] \right) \right), \quad (29) \end{aligned}$$

where

$$\mathcal{D}_K[\rho_s(t)] := K \rho_s(t) K^{\dagger} - \frac{1}{2} \{K^{\dagger} K, \rho_s(t)\}. \quad (30)$$

Again, the Gibbs state at the same temperature as the bath is the unique steady state of Eq. (29):

$$\rho_{\beta} = \frac{e^{-\beta \sum_{k_2} H(k_2)}}{Z} = \bigotimes_{k_2} \frac{e^{-\beta H(k_2)}}{Z_{k_2}}, \quad (31)$$

with $Z_{k_2} = \text{Tr}[e^{-\beta H(k_2)}]$. Therefore, as long as the values of M , t_2 , and ϕ are such that the system exhibits symmetry-protected topological order (see Fig. 2), two of the modes which diagonalize each $H(k_2)$, say $f_{(L,k_2)}$ and $f_{(R,k_2)}$, correspond to edge states and the Gibbs state is a tensor product in k_2 of states of the form

$$\rho_{\beta}(k_2) = \frac{e^{-\beta H(k_2)}}{Z_{k_2}} = \rho_{\beta}^L(k_2) \otimes \rho_{\beta}^{\text{bulk}}(k_2) \otimes \rho_{\beta}^R(k_2), \quad (32)$$

where

$$\rho_{\beta}^{L,R}(k_2) := \frac{e^{-\beta E_{L,R}(k_2) f_{(L,R,k_2)}^{\dagger} f_{(L,R,k_2)}}}{1 + e^{-\beta E_{L,R}(k_2)}} \quad (33)$$

are Gibbs states of the edge modes. However, as temperature increases (see again Fig. 2), the edge modes in the steady state of the Liouvillian (29) become delocalized along the transverse direction to the edges. Then, the components $\rho_{\beta}^{L,R}(k_2)$ approach to a maximally mixed state with vacuum $|0\rangle$. In such a situation, there is not a way to distinguish the edge from the bulk modes because for all k_2 the occupation along the direction \mathbf{a}_1 becomes the same and equal to $\frac{1}{2}$. We illustrate this behavior in Fig. 3.

It is worth stressing that in order to study the dissipative effects on the cylindrical Haldane system we must determine how the boundary conditions of the system affect the dissipator operator. To that aim, we need to specify how the dissipator is generated (constructed). In our case, this is the result of the weak interaction of the system with local baths. However, there are also possible scenarios where the dissipator is the effective result of other external interactions (see, for example, Refs. 28–33). Since the process of “opening” or “closing” a system has a physical meaning, we stress that we need to know how the dissipator is physically generated to obtain its “open” and/or “closed” counterpart. Note that a dissipator with periodic boundary conditions may split in different and nonequivalent dissipators once the system is opened along some direction if it is generated in different ways.

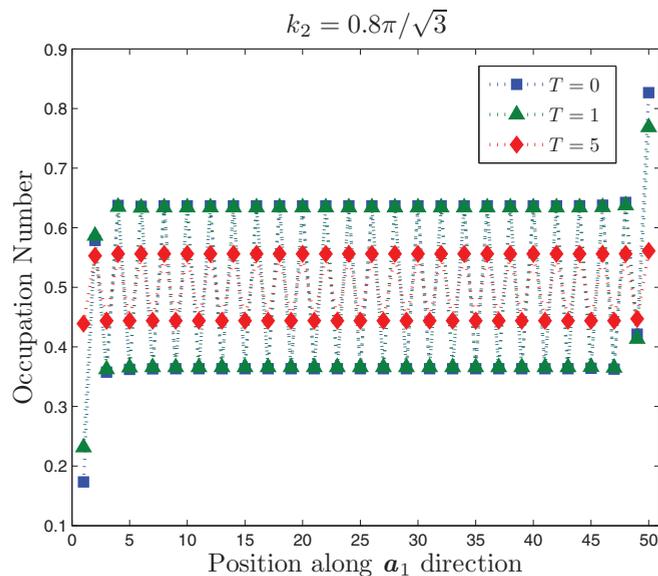


FIG. 3. (Color online) Occupation along the direction \mathbf{a}_1 for all particles with momentum $k_2 = \frac{4}{5} \frac{\pi}{|a_2|}$, $M = 0$, and $\phi = \pi/2$, for different values of T (in units of $t_2 = 1$). Note the presence of edge states at finite temperature [Eq. (33)] in the positions 1 and 50 along the direction \mathbf{a}_1 . However, as the temperature T significantly increases, the population of the edge modes becomes similar to the population of the bulk modes.

VII. QUANTUM HALL CONDUCTIVITY AND CHERN VALUE AT FINITE TEMPERATURE

We can obtain further physical meaning and implications for the density-matrix Chern value (20) by studying the (quantum Hall) transverse conductivity σ_{xy} and its relation to the thermal edge states obtained for the Haldane model. Using the Kubo formula³⁴ in linear response theory, it is possible to derive an expression for the transverse Hall conductivity at finite temperature³⁵:

$$\sigma_{xy}^\rho = \frac{e^2}{2\pi h} \sum_\alpha \int_{T^2} \bar{n}_F(E_\alpha^k) F_{xy}^\alpha(\mathbf{k}) d^2\mathbf{k}. \quad (34)$$

Note that this expression³⁶ is different from the one obtained for the Chern value (20). Indeed, the conductivity is not topological at finite temperature, as shown in Fig. 4 where nonzero Hall conductivity appears in regions outside the topological regime, in contrast with Fig. 2. Nonetheless, both quantities can be related by means of the following equation:

$$\sigma_{xy}^\rho = \frac{e^2}{h} n_{\text{Ch}}^\rho + \frac{e^2}{2\pi h} \sum_\alpha \int_{T^2} \{ [\partial_y \bar{n}_F(E_\alpha^k)] A_x^\alpha(\mathbf{k}) - [\partial_x \bar{n}_F(E_\alpha^k)] A_y^\alpha(\mathbf{k}) \} d^2\mathbf{k}. \quad (35)$$

The second term on the right-hand side of (35) is the same one that appears for the transverse conductivity of a normal insulator with an applied magnetic field (or a pseudomagnetic field as for the Haldane model) at $T \neq 0$. It corresponds to the conduction by thermal activation of excited electrons in the bulk. For instance, for parameters $t_1 = 4, t_2 = 1, \phi = \frac{\pi}{2}$, and

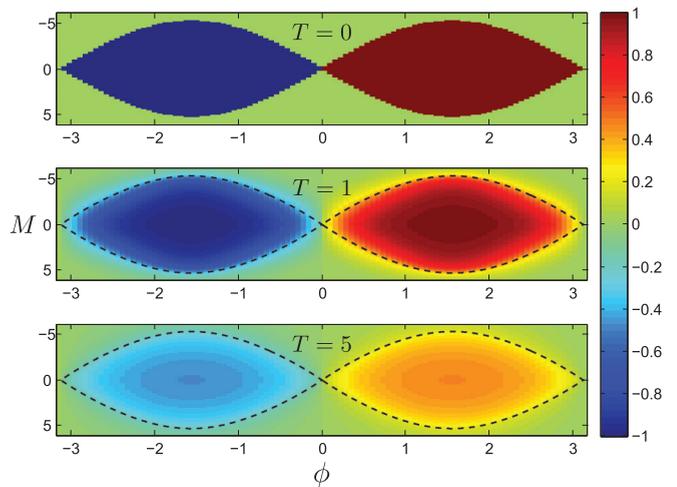


FIG. 4. (Color online) Color map depicting the conductivity Eq. (34) for different values of ϕ , M , and the bath temperature T (in units of $t_2 = 1$). As T increases, the conductivity decreases (in absolute value), and for $T = 0$ we recover the Chern number result (Fig. 2). The dashed black lines enclose the region displaying topological order at $T = 0$. Thus, contrarily to the Chern value, the conductivity is not a topological property for finite T , as it does not vanish for every point outside this region for any T .

$M = 6$ in the Haldane model, this term is the only nonzero contribution to the conductivity, as the system is outside the topological regime, and so $n_{\text{Ch}}^\rho = 0$.

Notwithstanding, the first term on the right-hand side of (35), which is nothing but the Chern value previously defined, represents a contribution due to the topological nature of our system and the presence of conducting edge states. For parameters $t_1 = 4, t_2 = 1, \phi = \frac{\pi}{2}$, and $M = 0$ in the Haldane model, the system is within the topological regime and this new term shows up. Note that at $T = 0$ we recover the well-known TKNN expression for the conductivity:

$$\sigma_{xy}^\rho \xrightarrow{T \rightarrow 0} \frac{e^2}{h} \nu_{\text{Ch}}, \quad (36)$$

where ν_{Ch} denotes the standard Chern number.

VIII. CONCLUSIONS

We have studied topological insulating phases in the presence of dissipation. After introducing the notion of band Liouvillian, we address the characterization of the topological order of its steady states by resorting to the density-matrix Chern value, a topological indicator that is an extension of the Chern number for pure states. The Haldane model of a 2D TI in contact with a thermal bath offers a nice testbed to study these phenomena. More concretely, we compute phase diagrams at finite temperature based on the Chern value, and corroborate that topological order decreases as the bath temperature increases. Thus, from a topologically disordered state it is not possible to induce a topologically ordered phase just by warming the system. However, a topologically ordered state may remain ordered at finite temperature T except at the limit $T \rightarrow \infty$. This has to be compared with the previous study⁸ where symmetry-protected topological order turned out

to be lost for a dissipative system in the presence of noise not generated by a band Liouvillian. Our results may also have direct application in recent studies regarding dissipation on Majorana fermions in topological superconductors.^{29,30,37}

Complementarily, we study the properties of the Haldane model coupled to a thermal bath under cylindrical boundary conditions. We find that the steady state splits in three different, generally mixed, substates [Eq. (32)]. Two of them are associated with creation or annihilation of fermionic gapless edge modes, and the other one accounts for the same process just in the bulk modes. In the limit $T \rightarrow 0$, we recover the properties of the usual Haldane model.

Finally, we examine the relation between the density-matrix Chern value and the conductivity at finite temperature. We show that the latter is a topological property, in contrast to the Chern value. This fact is due to the presence of an extra term which accounts for the conductivity generated by thermal activation of electrons in the bulk, which has not a particular topological meaning and is present in normal insulators. In this regard, provided that the gap between conduction and valence bands is large enough, the Chern value may be approximately estimated by measuring the conductivity, as the thermal activation would be very small. However, recent results³⁸ suggest that a direct measurement of the density-matrix Chern value could be possible in optical lattice realizations.

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APPENDIX A: GAUGE INVARIANCE OF THE DENSITY-MATRIX CHERN VALUE

In this appendix, we provide a proof that the density-matrix Chern value (18) is fully gauge invariant with respect to $U(1)^N$ transformations of the mixed state. To that aim, consider a $U(1)^N$ gauge transformation on the eigenstates of $\rho_{ss}^k = \sum_{\alpha=1}^N p_{\alpha}^k |\psi_{\alpha,k}\rangle \langle \psi_{\alpha,k}|$:

$$|\psi_{\alpha,k}\rangle \longrightarrow |\tilde{\psi}_{\alpha,k}\rangle = e^{i\phi_k^{\alpha}} |\psi_{\alpha,k}\rangle. \quad (\text{A1})$$

The Berry-type connection (13) and the associated purified curvature (17) transform as

$$\tilde{A}_i^{\rho}(\mathbf{k}) = A_i^{\rho}(\mathbf{k}) - \sum_{\alpha} p_{\alpha}^k \partial_i \phi_k^{\alpha}, \quad (\text{A2})$$

$$\tilde{F}_{ij}^{\rho}(\mathbf{k}) = F_{ij}^{\rho}(\mathbf{k}) + \sum_{\alpha} \partial_j (p_{\alpha}^k \partial_i \phi_k^{\alpha}) - \partial_i (p_{\alpha}^k \partial_j \phi_k^{\alpha}). \quad (\text{A3})$$

On the other hand, the translational invariance of the lattice imposes that $\rho_{ss}^k = \rho_{ss}^{k+G}$, where G is a vector in the reciprocal lattice. Then, since the eigenbasis of ρ_{ss}^k is single valued, we conclude that

$$|\psi_{\alpha,k}\rangle = |\psi_{\alpha,k+G}\rangle \quad (\text{A4})$$

independently of the gauge. This implies

$$|\tilde{\psi}_{\alpha,k}\rangle = |\tilde{\psi}_{\alpha,k+G}\rangle \Rightarrow e^{i\phi_k^{\alpha}} |\psi_{\alpha,k}\rangle = e^{i\phi_{k+G}^{\alpha}} |\psi_{\alpha,k+G}\rangle. \quad (\text{A5})$$

Thus, we obtain that the gauge phase satisfies the relation

$$\phi_k^{\alpha} = \phi_{k+G}^{\alpha} \pmod{2\pi}. \quad (\text{A6})$$

The Chern value (in 2D for simplicity) is given by

$$n_{\text{Ch}}^{\rho} = \frac{1}{2\pi} \int_{T^2} F_{12}^{\rho} d^2k. \quad (\text{A7})$$

Performing a $U(1)^N$ gauge transformation and using (A3),

$$\begin{aligned} \tilde{n}_{\text{Ch}}^{\rho} &= \frac{1}{2\pi} \int_{T^2} \tilde{F}_{12}^{\rho} d^2k = n_{\text{Ch}}^{\rho} + \frac{1}{2\pi} \sum_{\alpha} \int_{-\pi}^{\pi} dk_1 \left[\int_{-\pi}^{\pi} dk_2 \partial_{k_2} (p_{\alpha}^k \partial_{k_1} \phi_k^{\alpha}) \right] - \frac{1}{2\pi} \int_{-\pi}^{\pi} dk_2 \left[\int_{-\pi}^{\pi} dk_1 \partial_{k_1} (p_{\alpha}^k \partial_{k_2} \phi_k^{\alpha}) \right] \\ &= n_{\text{Ch}}^{\rho} + \frac{1}{2\pi} \sum_{\alpha} \int_{-\pi}^{\pi} dk_1 [p_{\alpha}(k_1, k_2 = \pi) \partial_{k_1} \phi^{\alpha}(k_1, k_2 = \pi) - p_{\alpha}(k_1, k_2 = -\pi) \partial_{k_1} \phi^{\alpha}(k_1, k_2 = -\pi)] \\ &\quad - \frac{1}{2\pi} \sum_{\alpha} \int_{-\pi}^{\pi} dk_2 [p_{\alpha}(k_1 = \pi, k_2) \partial_{k_2} \phi^{\alpha}(k_1 = \pi, k_2) - p_{\alpha}(k_1 = -\pi, k_2) \partial_{k_2} \phi^{\alpha}(k_1 = -\pi, k_2)], \quad (\text{A8}) \end{aligned}$$

where k_1 and k_2 are the two periodic directions along the 2-torus. The weights p_{α}^k are periodic in the B.Z. In particular, for the Gibbs' state, these are functions of the energies of the system. Thus, we have $p_{\alpha}^k = p_{\alpha}^{k+G}$ and then it follows that

$$\begin{aligned} \tilde{n}_{\text{Ch}}^{\rho} &= n_{\text{Ch}}^{\rho} + \frac{1}{2\pi} \sum_{\alpha} \int_{-\pi}^{\pi} dk_1 \{ p_{\alpha}(k_1, k_2 = \pi) \partial_{k_1} [\phi^{\alpha}(k_1, k_2 = \pi) - \phi^{\alpha}(k_1, k_2 = -\pi)] \} \\ &\quad - \frac{1}{2\pi} \sum_{\alpha} \int_{-\pi}^{\pi} dk_2 \{ p_{\alpha}(k_1 = \pi, k_2) \partial_{k_2} [\phi^{\alpha}(k_1 = \pi, k_2) - \phi^{\alpha}(k_1 = -\pi, k_2)] \}. \quad (\text{A9}) \end{aligned}$$

At this point, we make use of Eq. (A6) and thus

$$\partial_{k_{x,y}} (\phi_k^{\alpha} - \phi_{k+G}^{\alpha}) = 0. \quad (\text{A10})$$

Hence, we can further simplify (A9) using (A10), arriving at the fundamental result

$$\tilde{n}_{\text{Ch}}^{\rho} = n_{\text{Ch}}^{\rho}. \quad (\text{A11})$$

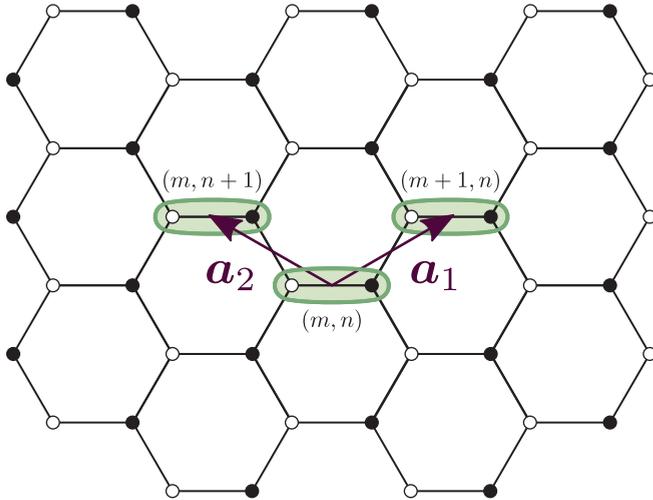


FIG. 5. (Color online) System of coordinates $\{\mathbf{a}_1, \mathbf{a}_2\}$ taken to write the Haldane Hamiltonian in real space (B1). The solid white and black circles denote fermions a and b , respectively, and the green enclosures highlight the two-site unit cell.

To summarize, the purified Berry curvature is only $U(1)$ gauge invariant, however, the Chern value is $U(1)^N$ gauge invariant and consequently it can represent a physical observable.

APPENDIX B: HALDANE MODEL GEOMETRIES

In order to write explicitly the Haldane Hamiltonian²⁷ in real space, we will consider the system of coordinates $\{\mathbf{a}_1, \mathbf{a}_2\}$ represented in Fig. 5, with $\mathbf{a}_1 = \frac{1}{2}(3, \sqrt{3})$ and $\mathbf{a}_2 = \frac{1}{2}(-3, \sqrt{3})$ for lattice spacing $a = 1$. We write $a_{(m,n)}$ ($b_{(m,n)}$) for the fermionic operator of kind a (b) in the position $\mathbf{r}_{(m,n)} = m\mathbf{a}_1 + n\mathbf{a}_2$. Then, the Haldane Hamiltonian in real space reads as

$$\begin{aligned}
H_s := & \sum_{m,n} \left(\frac{M}{2} [a_{(m,n)}^\dagger a_{(m,n)} - b_{(m,n)}^\dagger b_{(m,n)}] \right. \\
& + t_1 [a_{(m,n)}^\dagger b_{(m,n)} + a_{(m+1,n)}^\dagger b_{(m,n)} + a_{(m,n)}^\dagger b_{(m,n+1)}] \\
& + t_2 [e^{i\phi} a_{(m,n)}^\dagger a_{(m+1,n+1)} + e^{-i\phi} a_{(m,n)}^\dagger a_{(m+1,n)} \\
& + e^{-i\phi} a_{(m,n)}^\dagger a_{(m,n+1)} + e^{-i\phi} b_{(m,n)}^\dagger b_{(m+1,n+1)} \\
& \left. + e^{i\phi} b_{(m,n)}^\dagger b_{(m+1,n)} + e^{i\phi} b_{(m,n)}^\dagger b_{(m,n+1)} \right] + \text{H.c.} \quad (\text{B1})
\end{aligned}$$

1. Toroidal geometry

By taking periodic boundary conditions in both spatial directions, we may write the Hamiltonian (B1) in the reciprocal space using the Fourier-transformed operators

$$a_{(n,m)} = \frac{1}{\sqrt{N}} \sum_{\mathbf{k} \in \text{B.Z.}} e^{i\mathbf{k} \cdot \mathbf{r}_{(m,n)}} a_{\mathbf{k}}, \quad (\text{B2})$$

$$b_{(n,m)} = \frac{1}{\sqrt{N}} \sum_{\mathbf{k} \in \text{B.Z.}} e^{i\mathbf{k} \cdot \mathbf{r}_{(m,n)}} b_{\mathbf{k}}, \quad (\text{B3})$$

where B.Z. stands for Brillouin zone which is a hexagon with vertices in the $\mathbf{k} = (k_1, k_2)$ points

$$\left(0, \frac{4\pi}{3\sqrt{3}}\right), \left(\frac{2\pi}{3}, \frac{2\pi}{3\sqrt{3}}\right), \left(\frac{2\pi}{3}, -\frac{2\pi}{3\sqrt{3}}\right), \quad (\text{B4})$$

$$\left(0, -\frac{4\pi}{3\sqrt{3}}\right), \left(-\frac{2\pi}{3}, -\frac{2\pi}{3\sqrt{3}}\right), \left(-\frac{2\pi}{3}, \frac{2\pi}{3\sqrt{3}}\right), \quad (\text{B5})$$

and N is the total number of two-site unit cells. Thus, the Haldane Hamiltonian is rewritten as

$$H_s = \sum_{\mathbf{k}} (a_{\mathbf{k}}^\dagger, b_{\mathbf{k}}^\dagger) H(\mathbf{k}) \begin{pmatrix} a_{\mathbf{k}} \\ b_{\mathbf{k}} \end{pmatrix}. \quad (\text{B6})$$

Here,

$$H_{11}(\mathbf{k}) = M + 2t_2 \sum_i \cos[\phi + (\mathbf{k} \cdot \mathbf{b}_i)],$$

$$H_{12}(\mathbf{k}) = H(\mathbf{k})_{21}^* = t_1 \sum_i e^{-i\mathbf{k} \cdot \mathbf{a}_i}, \quad (\text{B7})$$

$$H_{22}(\mathbf{k}) = -M + 2t_2 \sum_i \cos[\phi - (\mathbf{k} \cdot \mathbf{b}_i)]$$

with

$$\mathbf{b}_1 = -(3, \sqrt{3})/2, \quad \mathbf{b}_2 = (3, -\sqrt{3})/2, \quad \mathbf{b}_3 = (0, \sqrt{3}). \quad (\text{B8})$$

By diagonalizing the matrix $H(\mathbf{k})$ we obtain

$$H_s = \sum_{\mathbf{k}} E_1^k c_{\mathbf{k}}^\dagger c_{\mathbf{k}} + E_2^k d_{\mathbf{k}}^\dagger d_{\mathbf{k}}, \quad (\text{B9})$$

where the eigenvalues are given by

$$E_{1,2}^k = 2t_2(\cos \phi) \xi_1(\mathbf{k}) \mp \sqrt{\Delta(\mathbf{k})} \quad (\text{B10})$$

with

$$\begin{aligned}
\Delta(\mathbf{k}) := & M^2 + t_1^2 [3 + 2\xi_1(\mathbf{k})] \\
& + 4t_2^2 (\sin^2 \phi) [\xi_2(\mathbf{k})]^2 - 4Mt_2 (\sin \phi) \xi_2(\mathbf{k}), \quad (\text{B11})
\end{aligned}$$

and

$$\xi_1(\mathbf{k}) := \sum_i \cos \mathbf{k} \cdot \mathbf{b}_i = 2 \cos \frac{3k_1}{2} \cos \frac{\sqrt{3}k_2}{2} + \cos \sqrt{3}k_2,$$

$$\xi_2(\mathbf{k}) := \sum_i \sin \mathbf{k} \cdot \mathbf{b}_i = -2 \cos \frac{3k_1}{2} \sin \frac{\sqrt{3}k_2}{2} + \sin \sqrt{3}k_2. \quad (\text{B12})$$

The operators $c_{\mathbf{k}}$ and $d_{\mathbf{k}}$ are related to $a_{\mathbf{k}}$ and $b_{\mathbf{k}}$ via the canonical transformation

$$\begin{pmatrix} a_{\mathbf{k}} \\ b_{\mathbf{k}} \end{pmatrix} = \frac{1}{\sqrt{1 + |x_{\mathbf{k}}|^2}} \begin{pmatrix} x_{\mathbf{k}} & 1 \\ -1 & x_{\mathbf{k}}^* \end{pmatrix} \begin{pmatrix} c_{\mathbf{k}} \\ d_{\mathbf{k}} \end{pmatrix}, \quad (\text{B13})$$

where

$$x_{\mathbf{k}} := \frac{t_1 \sum_i e^{-i\mathbf{k} \cdot \mathbf{a}_i}}{M - 2t_2 (\sin \phi) \xi_2(\mathbf{k}) + \sqrt{\Delta(\mathbf{k})}}. \quad (\text{B14})$$

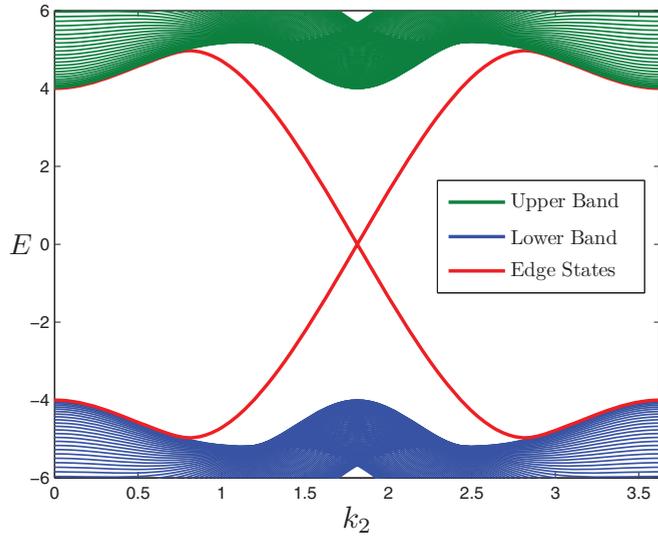


FIG. 6. (Color online) Energy bands of the Hamiltonian (B17) as a function of the momentum k_2 . The red lines correspond to the edge-state modes. The rest of the parameters are $M = 0$, $\phi = \pi/2$, and $t_1 = 4$ (in units of $t_2 = 1$).

2. Cylindrical geometry

In this case, we take periodic boundary conditions along the direction \mathbf{a}_2 and open boundaries along \mathbf{a}_1 , so that we work with a cylindrical configuration. The inverse Fourier transform along the \mathbf{a}_2 direction of the fermionic operators is given by

$$a_{(m,n)} = \frac{1}{\sqrt{N_2}} \sum_{k_2 \in \text{B.Z.}} e^{ik_2 n |\mathbf{a}_2|} a_{(m,k_2)}, \quad (\text{B15})$$

$$b_{(m,n)} = \frac{1}{\sqrt{N_2}} \sum_{k_2 \in \text{B.Z.}} e^{ik_2 n |\mathbf{a}_2|} b_{(m,k_2)}, \quad (\text{B16})$$

where the Brillouin zone corresponds to the interval $k_2 \in (-\pi/|\mathbf{a}_2|, \pi/|\mathbf{a}_2|) = (-\pi/\sqrt{3}, \pi/\sqrt{3})$, and N_2 is the number of two-site basic cells along the direction \mathbf{a}_2 . By using these equations in the Hamiltonian (B1) we obtain

$$\begin{aligned} H_s = & \sum_{k_2 \in \text{B.Z.}} \sum_m \left(\left[\frac{M}{2} + t_2 \cos(\sqrt{3}k_2 - \phi) \right] a_{(m,k_2)}^\dagger a_{(m,k_2)} \right. \\ & + \left[-\frac{M}{2} + t_2 \cos(\sqrt{3}k_2 + \phi) \right] b_{(m,k_2)}^\dagger b_{(m,k_2)} \\ & + t_1 \left[a_{(m+1,k_2)}^\dagger b_{(m,k_2)} + \left(1 + e^{i\sqrt{3}k_2} \right) a_{(m,k_2)}^\dagger b_{(m,k_2)} \right] \\ & + t_2 \left[\left(e^{i(\sqrt{3}k_2 + \phi)} + e^{-i\phi} \right) a_{(m,k_2)}^\dagger a_{(m+1,k_2)} \right. \\ & \left. + \left(e^{i(\sqrt{3}k_2 - \phi)} + e^{i\phi} \right) b_{(m,k_2)}^\dagger b_{(m+1,k_2)} \right] + \text{H.c.} \end{aligned} \quad (\text{B17})$$

This Hamiltonian has the structure $H_s = \sum_{k_2 \in \text{B.Z.}} H(k_2)$, therefore we can diagonalize H by diagonalizing each $H(k_2)$. In Fig. 6, we have depicted the behavior of the eigenvalues of $H(k_2)$ as a function of k_2 . The red lines connecting the upper and lower bands correspond to the edge-state modes, which are localized on the edges of the direction \mathbf{a}_1 .

APPENDIX C: DERIVATION OF THE MASTER EQUATION FOR THE HALDANE MODEL

In this section, we derive the dynamical equation (master equation) for the Haldane model coupled to a thermal bath. We assume the usual condition of weak system-bath coupling, which is a standard assumption for thermalization.

The total Hamiltonian of the problem considered reads as follows:

$$H := H_s + H_b + H_{s-b}. \quad (\text{C1})$$

The first term H_s is the Haldane Hamiltonian (B1). The second term in (C1), H_b , is the free Hamiltonian of the local baths,

$$H_b := \sum_{i,r} \epsilon^i (A_r^{i\dagger} A_r^i + B_r^{i\dagger} B_r^i), \quad (\text{C2})$$

where A and B stand for independent fermionic bath operators that satisfy the canonical anticommutation relations $\{A_n^i, A_{n'}^{j\dagger}\} = \delta_{n,n'} \delta_{i,j}$, $\{A_n^i, A_{n'}^j\} = 0$ and analogously for B_n^i . The index r denotes the position of the local bath on the lattice and i runs over the bath degrees of freedom. Also, ϵ^i represents the energy of each mode i of the bath which is assumed to be independent of the lattice site. Finally, the third term in (C1), H_{s-b} , is given by

$$H_{s-b} := \sum_{i,r} g^i (a_r^\dagger \otimes A_r^i + a_r \otimes A_r^{i\dagger} + b_r^\dagger \otimes B_r^i + b_r \otimes B_r^{i\dagger}), \quad (\text{C3})$$

and describes an exchange of fermions between system and bath mediated by a coupling constant g^i which may depend on the specific mode i of the baths.

The total dynamics of system and bath is given by the Liouville–Von Neumann equation

$$\frac{d\rho}{dt} = -i[H, \rho]. \quad (\text{C4})$$

After taking the interaction picture with respect to $H_0 = H_s + H_b$,

$$\frac{d\tilde{\rho}}{dt} = -i[\tilde{H}_{s-b}, \tilde{\rho}] \quad \text{with} \quad \begin{cases} \tilde{\rho} := e^{iH_0 t} \rho e^{-iH_0 t}, \\ \tilde{H}_{s-b} := e^{iH_0 t} H_{s-b} e^{-iH_0 t}. \end{cases} \quad (\text{C5})$$

For small \tilde{H}_{s-b} , the system dynamics is approximately given (see Refs. 17–20) by the equation

$$\frac{d\tilde{\rho}_s}{dt} = - \int_0^\infty ds \text{Tr}_b [\tilde{H}_{s-b}(t), [\tilde{H}_{s-b}(t-s), \tilde{\rho}_s(t) \otimes \rho_b^\beta]], \quad (\text{C6})$$

where Tr_b denotes the trace over the bath degrees of freedom and ρ_b^β is the initial state of the bath, which we assumed to be the Gibbs state

$$\rho_b^\beta := \frac{e^{-\beta H_b}}{Z}. \quad (\text{C7})$$

1. Toroidal geometry

We consider periodic boundary conditions and take Fourier transforms in the Hamiltonian (C1). For the first term we obtain

(B6), for the second we have

$$H_b = \sum_{i,k} \epsilon^i (A_k^{i\dagger} A_k^i + B_k^{i\dagger} B_k^i), \quad (\text{C8})$$

and finally for the interaction term

$$H_{s-b} = \sum_{i,k} g^i (a_k^\dagger \otimes A_k^i + a_k \otimes A_k^{i\dagger} + b_k^\dagger \otimes B_k^i + b_k \otimes B_k^{i\dagger}). \quad (\text{C9})$$

Let us stress again that the strength of the coupling to each mode of the bath is represented by g^i . This, analogously to the energy of each mode ϵ^i , is taken to be independent of the lattice site and of the type of bath A or B , which is rather natural.

In terms of the operators c_k and d_k , the Hamiltonian (C9) reads as

$$H_{s-b} = \sum_{i,k} g^i (c_k^\dagger \otimes C_k^i + c_k \otimes C_k^{i\dagger} + d_k^\dagger \otimes D_k^i + d_k \otimes D_k^{i\dagger}), \quad (\text{C10})$$

where

$$\begin{pmatrix} C_k^i \\ D_k^i \end{pmatrix} = \frac{1}{\sqrt{1+|x_k|^2}} \begin{pmatrix} x_k^* & -1 \\ 1 & x_k \end{pmatrix} \begin{pmatrix} A_k^i \\ B_k^i \end{pmatrix} \quad (\text{C11})$$

are new fermionic modes of the bath. Moreover, note that

$$H_b = \sum_{i,k} \epsilon^i (C_k^{i\dagger} C_k^i + D_k^{i\dagger} D_k^i). \quad (\text{C12})$$

Now, it is easy to write H_{s-b} in the interaction picture and apply the formula (C6), which can be quite simplified by using that

$$\begin{aligned} \text{Tr}_b(C_{k'}^{j\dagger} C_k^i \rho_\beta) &= \text{Tr}_b(D_{k'}^{j\dagger} D_k^i \rho_\beta) = \bar{n}_F(\epsilon^i) \delta_{i,j} \delta_{k,k'}, \\ \text{Tr}_b(C_{k'}^j C_k^{i\dagger} \rho_\beta) &= \text{Tr}_b(D_{k'}^j D_k^{i\dagger} \rho_\beta) = [1 - \bar{n}_F(\epsilon^i)] \delta_{i,j} \delta_{k,k'}, \\ \text{Tr}_b(C_{k'}^{j\dagger} D_k^i \rho_\beta) &= \text{Tr}_b(D_{k'}^{j\dagger} C_k^i \rho_\beta) = 0. \end{aligned}$$

Here, $\bar{n}_F(E) := \frac{1}{e^{\beta E} + 1}$ is the mean number of particles of the Fermi-Dirac distribution, where we have taken the chemical potential μ to be at the origin of the energy. In the continuous limit for the bath degrees of freedom we have

$$\sum_i (g^i)^2 f(\epsilon^i) \longrightarrow \int d\epsilon J(\epsilon) f(\epsilon), \quad (\text{C13})$$

for any function $f(\epsilon)$, where $J(\omega)$ is the so-called spectral density of the bath. Thus, the Sokhotsky's identity

$$\int_0^\infty d\tau e^{i\omega\tau} = \pi \delta(\omega) + i\text{PV} \left(\frac{1}{\omega} \right) \quad (\text{C14})$$

allows us to simplify further the final expression, which after a bit long but straightforward computation reads as

$$\begin{aligned} \frac{d\rho_s(t)}{dt} &= \sum_k \mathcal{L}_k[\rho_s(t)] = \sum_k \left(-i[H_k, \rho_s(t)] + \gamma(E_1^k) \bar{n}_F(E_1^k) \left(c_k^\dagger \rho_s(t) c_k - \frac{1}{2} \{c_k c_k^\dagger, \rho_s(t)\} \right) \right. \\ &\quad + \gamma(E_1^k) [1 - \bar{n}_F(E_1^k)] \left(c_k \rho_s(t) c_k^\dagger - \frac{1}{2} \{c_k^\dagger c_k, \rho_s(t)\} \right) + \gamma(E_2^k) \bar{n}_F(E_2^k) \left(d_k^\dagger \rho_s(t) d_k - \frac{1}{2} \{d_k d_k^\dagger, \rho_s(t)\} \right) \\ &\quad \left. + \gamma(E_2^k) [1 - \bar{n}_F(E_2^k)] \left(d_k \rho_s(t) d_k^\dagger - \frac{1}{2} \{d_k^\dagger d_k, \rho_s(t)\} \right) \right), \quad (\text{C15}) \end{aligned}$$

in the Schrödinger picture, where $\gamma(\omega) := 2\pi J(\omega)$. In addition, in this equation we have neglected the imaginary parts of Eq. (C14) because they represent just a small shift of energies which do not affect the dissipative process.³⁹

2. Cylindrical geometry

In this case, we take Fourier transform along the direction \mathbf{a}_2 in (C1). Thus, the Haldane Hamiltonian reads as (B17), the bath Hamiltonian becomes

$$H_b = \sum_{k_2} \sum_{i,m} \epsilon^i (A_{(m,k_2)}^{i\dagger} A_{(m,k_2)}^i + B_{(m,k_2)}^{i\dagger} B_{(m,k_2)}^i), \quad (\text{C16})$$

and the interaction Hamiltonian

$$\begin{aligned} H_{s-b} &= \sum_{k_2 \in \text{B.Z.}} \sum_{i,m} g^i (a_{(m,k_2)}^\dagger \otimes A_{(m,k_2)}^i + a_{(m,k_2)} \otimes A_{(m,k_2)}^{i\dagger} \\ &\quad + b_{(m,k_2)}^\dagger \otimes B_{(m,k_2)}^i + b_{(m,k_2)} \otimes B_{(m,k_2)}^{i\dagger}), \quad (\text{C17}) \end{aligned}$$

where m runs from 1 to the number of two-site basic cells along the direction \mathbf{a}_1 , N_1 .

We may collect the operators a_m and b_m of each site in a new operator c_m where $c_1 := a_1$, $c_2 := b_1$, $c_3 := a_2$, $c_4 := b_2$, and so on. The same can be done for the bath operators A and B with the notation C_m . Then, the interaction Hamiltonian (C17) is written as

$$H_{s-b} = \sum_{k_2 \in \text{B.Z.}} \sum_{i,m} g^i (c_{(m,k_2)}^\dagger \otimes C_{(m,k_2)}^i + c_{(m,k_2)} \otimes C_{(m,k_2)}^{i\dagger}), \quad (\text{C18})$$

where now m runs from 1 to $2N_1$.

The diagonal modes $f_{(m,k_2)}$ of $H(k_2) = \sum_m E_m(k_2) f_{(m,k_2)}^\dagger f_{(m,k_2)}$, where $E_m(k_2)$ is depicted in Fig. 6, are related to $c_{(m,k_2)}$ by some unitary transformation

$$c_{(m,k_2)} = \sum_\ell w_{m,\ell}^{k_2} f_{(\ell,k_2)} \quad \text{with} \quad \sum_\ell w_{\ell,m}^{k_2*} w_{\ell,m'}^{k_2} = \delta_{m,m'}. \quad (\text{C19})$$

By using this equation in (C18) and after a bit of algebra we arrive at

$$H_{s,b} = \sum_{i,m,k_2} g^i (f_{(m,k_2)}^\dagger \otimes F_{(m,k_2)}^i + f_{(m,k_2)} \otimes F_{(m,k_2)}^{i\dagger}), \quad (\text{C20})$$

where

$$F_{(m,k_2)}^i := \sum_{\ell} w_{\ell,m}^{k_2*} C_{(\ell,k_2)}^i \quad (\text{C21})$$

are new fermionic bath modes.

Following the same steps as for the toroidal geometry, it is not difficult to obtain the master equation of the cylindrical array

$$\begin{aligned} \frac{d\rho_s(t)}{dt} = & \sum_{k_2 \in \text{B.Z.}} \mathcal{L}_{k_2}[\rho_s(t)] = \sum_{k_2 \in \text{B.Z.}} \left(-i[H(k_2), \rho_s(t)] \right. \\ & + \sum_m (\gamma(E_m^{k_2}) \bar{n}_F(E_m^{k_2}) \mathcal{D}_{f_{(m,k_2)}^\dagger}[\rho_s(t)] \\ & \left. + \gamma(E_m^{k_2}) [1 - \bar{n}_F(E_m^{k_2})] \mathcal{D}_{f_{(m,k_2)}}[\rho_s(t)] \right), \quad (\text{C22}) \end{aligned}$$

where

$$\mathcal{D}_K[\rho_s(t)] := K\rho_s(t)K^\dagger - \frac{1}{2}\{K^\dagger K, \rho_s(t)\}. \quad (\text{C23})$$

APPENDIX D: STEADY STATE FOR THE HALDANE MODEL

1. Toroidal geometry

The steady state of the previous band Liouvillian (C15) is the Gibbs state of the Hamiltonian H_s ,

$$\rho_\beta = \frac{e^{-\beta H_s}}{Z}, \quad (\text{D1})$$

where $\beta = 1/T$, with T the temperature of the fermionic bath, and $Z = \text{Tr}(e^{-\beta H_s})$ the partition function. To prove this, first note that $[H_s, \rho_\beta] = 0$ so we just need to care about the dissipator in (C15). In addition, since the number operators $c_k^\dagger c_k$ and $d_k^\dagger d_k$ commute with $c_{k'}^\dagger, c_{k'}, d_{k'}^\dagger$, and $d_{k'}$ if $\mathbf{k} \neq \mathbf{k}'$, and we are left only with the part where the crystalline momenta in \mathcal{L}_k and ρ_{ss}^k are the same, as the others trivially vanish. Since

$$e^{\beta E} \bar{n}_F(E) = [1 - \bar{n}_F(E)] \quad (\text{D2})$$

and

$$\rho_{ss}^k = \left(\frac{e^{-\beta E_1^k} c_k^\dagger c_k}{1 + e^{-\beta E_1^k}} \right) \left(\frac{e^{-\beta E_2^k} d_k^\dagger d_k}{1 + e^{-\beta E_2^k}} \right), \quad (\text{D3})$$

it is easy to prove that

$$\begin{aligned} \mathcal{L}_k(\rho_{ss}^k) = & \bar{n}_F(E_1^k) \left(c_k^\dagger \rho_{ss}^k c_k - \frac{1}{2} \{c_k c_k^\dagger, \rho_{ss}^k\} \right) \\ & + [1 - \bar{n}_F(E_1^k)] \left(c_k \rho_{ss}^k c_k^\dagger - \frac{1}{2} \{c_k^\dagger c_k, \rho_{ss}^k\} \right) \\ & + \bar{n}_F(E_2^k) \left(d_k^\dagger \rho_{ss}^k d_k - \frac{1}{2} \{d_k d_k^\dagger, \rho_{ss}^k\} \right) \\ & + [1 - \bar{n}_F(E_2^k)] \left(d_k \rho_{ss}^k d_k^\dagger - \frac{1}{2} \{d_k^\dagger d_k, \rho_{ss}^k\} \right) = 0. \quad (\text{D4}) \end{aligned}$$

Moreover, the state ρ_β is the unique steady state of (C15) as the interaction Hamiltonian (C3) satisfies the irreducibility condition presented in Ref. 40.

In order to analyze some properties of ρ_β , let us write the density matrix ρ_{ss}^k in the occupation basis of the two bands for a fixed momentum \mathbf{k} . The basis reads as $|ij\rangle_{\mathbf{k}}$, where $i = 0, 1$ and $j = 0, 1$ stand for the occupation of one-particle state in the lower and upper bands, respectively. Thus, ρ_{ss}^k is a 4×4 diagonal matrix

$$\rho_{ss}^k = \text{diag}(p_{0000}^k, p_{1010}^k, p_{0101}^k, p_{1111}^k) \quad (\text{D5})$$

with

$$\begin{aligned} p_{0000}^k & := [(1 + e^{-\beta E_1^k})(1 + e^{-\beta E_2^k})]^{-1}, \\ p_{1010}^k & := p_{0000}^k e^{-\beta E_1^k}, \\ p_{0101}^k & := p_{0000}^k e^{-\beta E_2^k}, \\ p_{1111}^k & := p_{0000}^k e^{-\beta E_1^k} e^{-\beta E_2^k}. \end{aligned} \quad (\text{D6})$$

Since we set the origin of energy at $E_0 = 0$ and also took the chemical potential $\mu = 0$ in-between the two bands $E_1^k < 0, E_2^k > 0$. Thus, at the low-temperature limit, the state $\rho_{ss}^k \rightarrow |10\rangle_{\mathbf{k}}$ as $T \rightarrow 0$ K. This means that at $T = 0$ K the lower band is fully occupied and the upper band is completely empty, which is actually what one may expect. At the opposite limit, for $T \rightarrow \infty$, the system gets completely mixed, as the four possible states can be equally populated by the environment.

For the sake of clarity, we write the members of the occupation basis as

$$\begin{aligned} |00\rangle_{\mathbf{k}} & = |0\rangle|0\rangle, \quad |10\rangle_{\mathbf{k}} = |u_{c,\mathbf{k}}\rangle|0\rangle, \\ |01\rangle_{\mathbf{k}} & = |0\rangle|u_{d,\mathbf{k}}\rangle, \quad |11\rangle_{\mathbf{k}} = |u_{c,\mathbf{k}}\rangle|u_{d,\mathbf{k}}\rangle. \end{aligned}$$

Then, by using Eqs. (26) and (13), we obtain (note that the $\partial_i |0\rangle = 0$ as by definition the vacuum has no particles and so it does not depend on \mathbf{k})

$$A_i^\rho(\mathbf{k}) = p_{1010}^k A_i^c(\mathbf{k}) + p_{0101}^k A_i^d(\mathbf{k}) + p_{1111}^k [A_i^c(\mathbf{k}) + A_i^d(\mathbf{k})], \quad (\text{D7})$$

where the Berry connections for the lower c and upper d bands are provided by

$$A_i^\alpha(\mathbf{k}) = i \langle u_{\alpha,\mathbf{k}} | \partial_i u_{\alpha,\mathbf{k}} \rangle, \quad \alpha = c, d. \quad (\text{D8})$$

Thus, the expressions for p_{ijkl}^k given in Eq. (D6) lead to

$$A_i^\rho(\mathbf{k}) = \bar{n}_F(E_1^k) A_i^c(\mathbf{k}) + \bar{n}_F(E_2^k) A_i^d(\mathbf{k}). \quad (\text{D9})$$

2. Cylindrical geometry

Because of the same reasons as with the toroidal geometry, the master equation on a cylindrical geometry (C22) has a unique steady state, which is the Gibbs state at the same temperature as the bath:

$$\rho_\beta = \frac{e^{-\beta \sum_{k_2} H(k_2)}}{Z} = \bigotimes_{k_2} \frac{e^{-\beta H(k_2)}}{Z_{k_2}}, \quad (\text{D10})$$

with $Z_{k_2} = \text{Tr}[e^{-\beta H(k_2)}]$. Provided the system exhibits topological order, this state can be split as

$$\rho_\beta(k_2) = \frac{e^{-\beta H(k_2)}}{Z_{k_2}} = \rho_\beta^L(k_2) \otimes \rho_\beta^{\text{bulk}}(k_2) \otimes \rho_\beta^R(k_2), \quad (\text{D11})$$

where

$$\rho_\beta^{L,R}(k_2) := \frac{e^{-\beta E_{L,R}(k_2)} f_{(L,R,k_2)}^\dagger f_{(L,R,k_2)}}{1 + e^{-\beta E_{L,R}(k_2)}} \quad (\text{D12})$$

are Gibbs states involving just the gapless edge modes depicted in Fig. 6.

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